

Universo Primitivo

2018-2019 (1º Semestre)

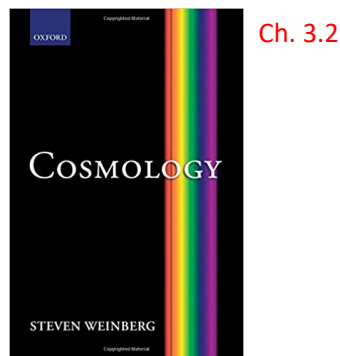
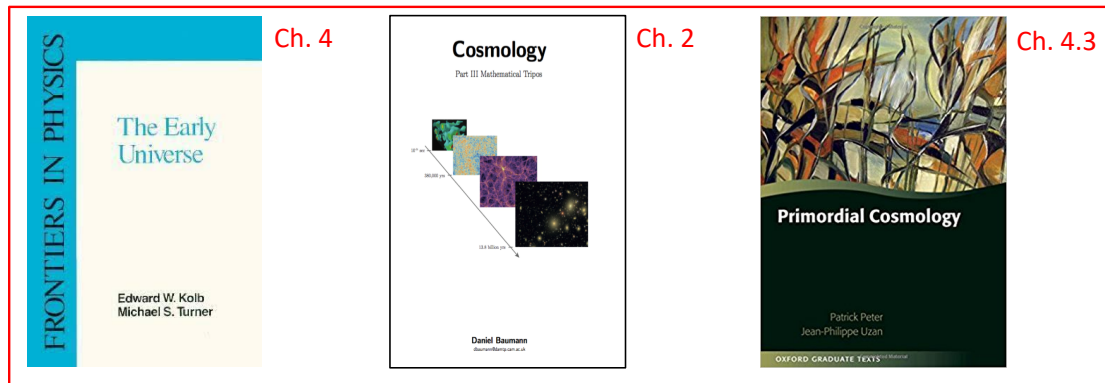
Mestrado em Física - Astronomia

Chapter 6

6 Big Bang Nucleosynthesis

- Initial Conditions;
- Nuclear statistical equilibrium;
- Neutron abundance;
- Helium abundance ;
- Comparison with observations
- BBN as a probe of cosmology and fundamental physics

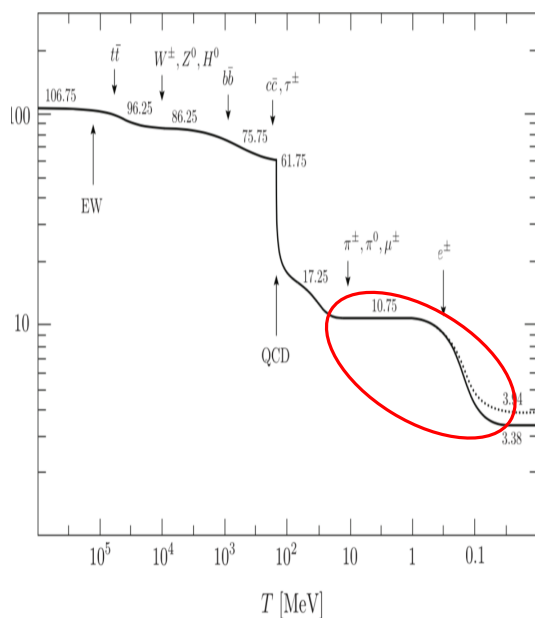
References



3

Big-Bang Nucleosynthesis

Initial conditions



Initial Conditions: After QCD phase transition and $T \gtrsim 10$ MeV protons and neutrons...

- remain in equilibrium with the fluid due to weak interactions involving neutrinos.
- First atomic nuclei form in equilibrium via 2-body nuclear reactions between protons and neutrons
- The proton to neutron ratio is given by n^{eq}/p^{eq}

By $T \sim 1 - 0.7$ MeV,

- weak interactions can no longer keep protons and neutrons in equilibrium
- Free neutrons decay into protons by $T \sim 0.8$, while atomic nuclei remain in equilibrium
- Neutrinos decouple, and n/p start to deviate from the equilibrium value

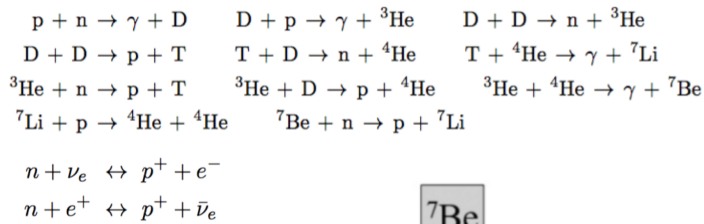
By $T \sim 0.7 - 0.5$ MeV,

- The production of deuterium, $n + p \rightarrow D + \gamma$, ceases when the number of neutrons decrease.
- Light atomic nuclei are then formed by 2-body reaction (3 body reactions are very unlikely).

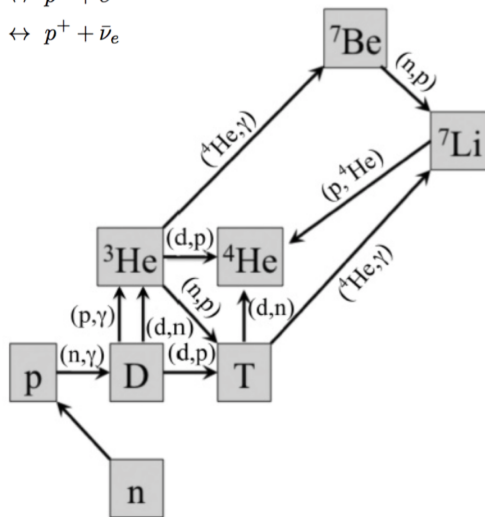
4

Big-Bang Nucleosynthesis

Initial conditions



Main nuclear reactions
that can establish during this phase



Big-Bang Nucleosynthesis (BBN) is able to predict the observed abundances of light elements!

5

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

Let us assume a given atomic nucleus $A = n + p$ nucleons (A is the nuclear mass number, n is the number of neutrons, and p is the number of protons of the nucleus. The nuclear charge is given by the atomic number $Z = p$).

The number density of this nuclear species at equilibrium is given by the non-relativistic expression derived in Series 2 (exercise 5.1):

$$n_A = g_A \left(\frac{m_A T}{2\pi} \right)^{3/2} \exp \left(\frac{\mu_A - m_A}{T} \right)$$

where the chemical potential needs to account for the number of protons and neutrons that make up the nucleus

$$\mu_A = Z\mu_p + (A - Z)\mu_n$$

We can also write similar equations to the free (non-relativistic) protons and neutrons, noticing that both these particles have 2 degrees of freedom (spin).

6

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

i.e., neutrons and protons have also non-relativistic equilibrium densities given by the previous expression ($A = 1, g_A = 2$):

$$n_n = 2 \left(\frac{m_n T}{2\pi} \right)^{3/2} e^{-(m_n - \mu_n)/T};$$

$$n_p = 2 \left(\frac{m_p T}{2\pi} \right)^{3/2} e^{-(m_p - \mu_p)/T}.$$

The **nuclear binding energy**, B_A , of a nucleus with atomic mass, A , is defined as the difference between the total mass of free nucleons and the mass of the nucleus:

$$B_A = Zm_p + (A - Z)m_n - m_A$$

$$m_A = Zm_p + (A - Z)m_n - B_A.$$

Using these expressions in n_A (the previous slide) and approximating $m_A = Am_B$ where $m_B = m_p = m_n$ one obtains (series exercise):

$$n_A = \frac{g_A}{2^A} A^{3/2} \left(\frac{m_B T}{2\pi} \right)^{3(1-A)/2} n_p^Z n_n^{A-Z} e^{B_A/T}$$

7

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

This shows that abundance of a nuclear species, critically depends on :

- the abundance of protons and neutrons at a given T ;
- the binding energy to temperature ratio, B_A/T

It is useful to write the nuclear abundances in terms of **mass fraction abundances**, X_A , defined as:

$$X_A \equiv \frac{n_A A}{n_B} \quad \text{where} \quad n_B = n_p + n_n + \sum_A A n_A$$

This definition allows to write the following conservation equation of nuclear abundances

$$\sum_A X_A = 1$$

Using n_A in the expression of X_A one has:

$$X_A = \frac{g_A}{2^A} A^{5/2} \left(\frac{m_B T}{2\pi} \right)^{3(1-A)/2} \frac{n_p^Z n_n^{A-Z}}{n_B} e^{B_A/T};$$

8

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

The density ratio in the previous expression can be written as:

$$\frac{n_p^Z n_n^{A-Z}}{n_B} = \frac{n_p^Z n_n^{A-Z}}{n_B^Z n_B^{A-Z}} n_B^{A-1} = X_p^Z X_n^{A-Z} n_B^{A-1} = X_p^Z X_n^{A-Z} n_\gamma^{A-1} \eta^{A-1}$$

Where we introduce the baryon to photon ratio defined as:

$$\boxed{\eta \equiv n_B/n_\gamma} \quad \text{where,} \quad n_\gamma = \frac{2}{\pi^2} \zeta(3) T^3$$

η is a central quantity in BBN. It can be calculated at present ($T_0 = 2.7525$):

$$\eta = 2.74 \times 10^{-8} h^2 \Omega_B$$

Using these expressions in X_A (of the previous slide) one has (check all the steps!):

$$\begin{aligned} X_A &= \frac{g_A}{2^A} A^{5/2} \left(\frac{m_B T}{2\pi} \right)^{3(1-A)/2} X_p^Z X_n^{A-Z} \left(\frac{2}{\pi^2} \zeta(3) T^3 \right)^{A-1} \eta^{A-1} e^{B_A/T} \\ &= g_A A^{5/2} 2^{-A+A-1-3(1-A)/2} \pi^{-2A+2-3(1-A)/2} \zeta(3)^{A-1} T^{(1-A)(-3+3/2)} m_B^{3(1-A)/2} \eta^{A-1} X_p^Z X_n^{A-Z} e^{B_A/T} \\ &= g_A \zeta(3)^{A-1} 2^{-5/2+3/2A} \pi^{-1/2-1/2A} A^{5/2} T^{-3(1-A)/2} m_B^{3(1-A)/2} \eta^{A-1} X_p^Z X_n^{A-Z} e^{B_A/T}, \end{aligned}$$

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

Which can be written in a nicer way...

$$\boxed{X_A = F(A) \left(\frac{T}{m_B} \right)^{3(A-1)/2} \eta^{A-1} X_p^Z X_n^{A-Z} e^{B_A/T}}$$

where

$$F(A) = g_A A^{5/2} \zeta(3)^{A-1} \pi^{(1-A)/2} 2^{(3A-5)/2}$$

This expression allows one to explicitly compute the mass fraction abundances of any nuclear species assuming nuclear statistical equilibrium. In particular one has:

D :	$X_2 = 16.3 \left(\frac{T}{m_B} \right)^{3/2} \eta e^{B_2/T} X_n X_p,$	$B_2 = 2.22 \text{ MeV}$
^3He :	$X_3 = 57.4 \left(\frac{T}{m_B} \right)^3 \eta^2 e^{B_3/T} X_n X_p^2,$	$B_3 = 7.72 \text{ MeV} (^3\text{He})$
^3H :		$B_3 = 6.92 \text{ MeV} (^3\text{H})$
^4He :	$X_4 = 113 \left(\frac{T}{m_B} \right)^{9/2} \eta^3 e^{B_4/T} X_n^2 X_p^2,$	$B_4 = 28.3 \text{ MeV}$
^{12}C :	$X_{12} = 3.22 \times 10^5 \left(\frac{T}{m_B} \right)^{33/2} \eta^{11} e^{B_{12}/T} X_n^6 X_p^6,$	$B_{12} = 92.2 \text{ MeV}$

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

These abundances are constrained by the conservation equation which, if one neglects other elements, reads:

$$X_n + X_p + X_2 + X_3 + X_4 + X_{12} = 1$$

The neutron and proton fractions are related. Their mass fractions in equilibrium can be easily obtained. We know that

$$\left(\frac{n_n}{n_p}\right)_{\text{eq}} = \left(\frac{m_n}{m_p}\right)^{3/2} e^{-(m_n - m_p)/T}$$

Dividing the numerator and the denominator on left hand side of this equation by n_b one obtains:

$$\left(\frac{n_n}{n_p}\right)_{\text{eq}} = \left(\frac{X_n}{X_p}\right)_{\text{eq}} \simeq e^{-Q/T}$$

Note that expressions for X_n derived in the previous slides assume the approximation $m_B = m_p = m_n$. If this approximation is taken rigorously then $X_n/X_p = 1$. However the mass difference ($Q = m_n - m_p$) in the exponential should not be ignored whereas it is smaller impact on the ratio of masses of the right hand side of n_n/n_p . ¹¹

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

Also note that, since the mass fraction abundances add up to one

$$X_n + X_p + X_2 + X_3 + X_4 + X_{12} = 1$$

and **nuclear synthesis occurs via 2-body reactions** (such as those in slide 5):

- Heavier **nuclear species are only effectively produced after the lighter ones are produced**. This is the case of Helium-4 which is only produced via 2-body reaction involving Deuterium or Hydrogen-3
- If the abundance fraction of a given nuclear species increases, this happens at the expenses of the some other species (which has it's fraction reduced)

So one can define an **estimate of the temperature at which a given nuclear species is effectively produced by setting $X_A \sim 1$** . this can only happen for $T \ll B_A$ so that the exponential term in X_n compensates η (the baryon to photon ratio) term, which is small.

$$X_A = F(A) \left(\frac{T}{m_B}\right)^{3(A-1)/2} \eta^{A-1} X_P^Z X_n^{A-Z} e^{B_A/T} \sim 1$$

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

From this previous expression one can derive an approximate equation to compute the temperature of effective production of a given nuclear species, T_A . Setting $X_A \sim X_n \sim X_p \sim 1$, taking the logarithm of X_A and dropping $\ln F(A)$, gives:

$$0 = \frac{3}{2}(A-1) \ln \left(\frac{T_A}{m_B} \right) + (A-1) \ln \eta + \frac{B_A}{T_A}$$

which can be used with iterative numerical methods to estimate T_A ,

$$\begin{aligned} T_A &\approx - \frac{B_A}{\frac{3}{2}(A-1) \ln \left(\frac{T_A}{m_B} \right) + (A-1) \ln \eta} \\ &= \frac{B_A}{A-1} \frac{1}{\ln \eta^{-1} + \frac{3}{2} \ln \left(\frac{m_B}{T_A} \right)}. \end{aligned}$$

For example, using this expression for Deuterium, one obtains:

$$T_D = \frac{2.22}{1} \frac{1}{\ln(2 \times 10^{-8} \Omega_B h^2)^{-1} + \frac{3}{2} \ln \left(\frac{1 \text{ GeV}}{T_D} \right)}$$

Similar equations can be derived for other nuclear species.

13

Big-Bang Nucleosynthesis

Nuclear Statistical equilibrium

Solving these type of equations, one obtains the following effective temperatures of production of the Deuterium, Tritium, and Helium-4:

$$T_D \approx 0.07 \text{ MeV} ; \quad T_{3\text{H}} \approx 0.11 \text{ MeV} ; \quad T_{4\text{He}} \approx 0.28 \text{ MeV}.$$

These temperatures can be converted to time using the Friedmann equation expressed in terms of temperature of the effective degrees of freedom in energy

$$H = \sqrt{\frac{\hbar c}{3M_{pl}^2} \frac{\pi^2}{30} g_* T^4} = \frac{\pi}{3} \left(\frac{g_*}{10} \right)^{1/2} \frac{T^2}{M_{pl}}$$

where we assume radiation domination.

Taking $g_* = 3.38$ one can derive the following expression for the beginning of the nucleosynthesis,

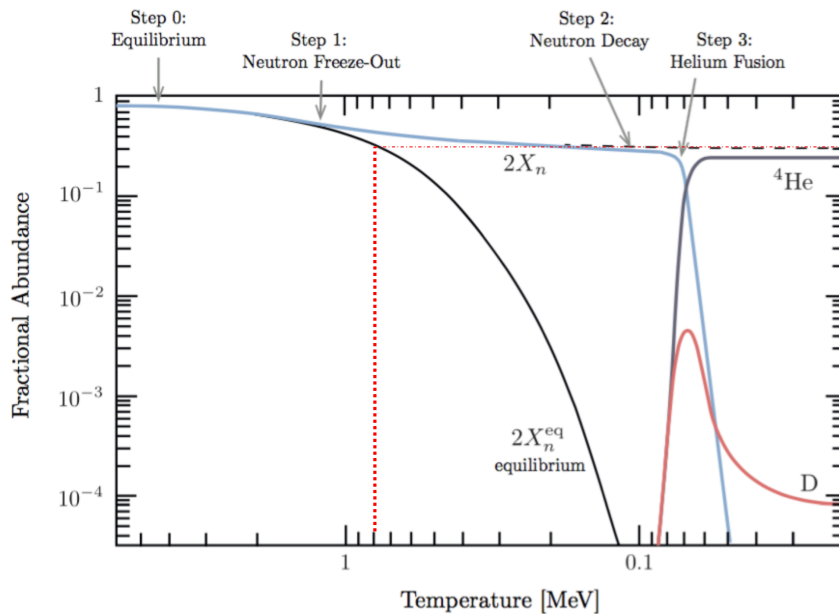
$$t_{\text{nuc}} = 132 \text{ s} \left(\frac{0.1 \text{ MeV}}{T_{\text{nuc}}} \right)^2$$

14

Big-Bang Nucleosynthesis

Neutrons abundance

The production of nuclear elements within the mechanism of Big-Bang nucleosynthesis is directly related with the abundance of free neutrons, and the evolution of n_B or the baryon to photon ratio. One can tell the story of neutrons in a few steps:



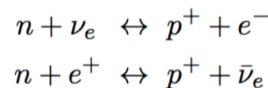
Neutrons decouple from the fluid and abandon equilibrium. They also decay into Protons.

15

Big-Bang Nucleosynthesis

Neutrons abundance

Step 0 (Equilibrium): Above $T \sim 1$ MeV protons and neutrons are in equilibrium via the nuclear reactions



The relative abundance of neutrinos to protons is then given by the equilibrium prediction:

$$\left(\frac{n_n}{n_p}\right)_{\text{eq}} = \left(\frac{m_n}{m_p}\right)^{3/2} e^{-(m_n - m_p)/T}$$

Where $m_n - m_p = Q = 1,293$ MeV is the mass difference between neutrons and protons. So the fraction of neutrons at equilibrium can be approximated by:

$$X_n^{\text{eq}} \simeq \frac{n_n^{\text{eq}}}{n_p^{\text{eq}} + n_n^{\text{eq}}} = \frac{n_n^{\text{eq}}/n_p^{\text{eq}}}{1 + n_n^{\text{eq}}/n_p^{\text{eq}}} \simeq \frac{e^{-Q/T}}{1 + e^{-Q/T}}$$

where $n_B \simeq n_n + n_p$ is used in the first equality and $m_n / m_p \simeq 1$ is used in the last equality. At $T = 0.8$ MeV this gives,

$$X_n^{\text{eq}}(0.8 \text{ MeV}) = 0.17$$

16

Big-Bang Nucleosynthesis

Neutrons abundance

Step 1 (Decoupling): As neutrinos decouple and positron-electron annihilation occurs, neutrons are forced to also decouple from the fluid. From the previous slides one expects that the **freeze out abundance of neutrons** should be close to:

$$X_n^\infty \sim X_n^{\text{eq}}(0.8 \text{ MeV}) \sim \frac{1}{6}$$

To confirm this expectation one needs to integrate the Boltzmann equation for the interactions that keep neutrons and protons in contact with the plasma. As seen in Chapter 4, the **Boltzmann equation** for the 2-body interaction $1 + 2 \rightleftharpoons 3 + 4$ is:

$$\frac{1}{a^3} \frac{d(n_1 a^3)}{dt} = -\langle \sigma v \rangle \left[n_1 n_2 - \left(\frac{n_1 n_2}{n_3 n_4} \right)_{\text{eq}} n_3 n_4 \right]$$

For interactions of the form $n + l \rightleftharpoons p^+ + l$, where l is a lepton **tightly bound to the plasma** one obtains:

$$\frac{1}{a^3} \frac{d(n_n a^3)}{dt} = -\Gamma_n \left[n_n - \left(\frac{n_n}{n_p} \right)_{\text{eq}} n_p \right]$$

Since leptons are tightly bound to the fluid one has: $n_l = n_l^{\text{eq}}$, and $\Gamma_n = \langle n_l \sigma v \rangle_{\bar{\nu}}$

Big-Bang Nucleosynthesis

Neutrons abundance

Step 1 (Decoupling): The solution of the Boltzmann equation is numerical. To compute the free neutron's fraction, X_n , one needs to use its definition (in slide 8) and compute the densities of all baryon species in the fluid at a given time.

However one can simplify the calculation of X_n using the following approximations:

- before neutron decoupling $n_b \simeq n_n + n_p$
- the total number of baryons is conserved, i.e., $n_b a^3 = \text{constant}$.

Using these assumptions the Boltzmann equation can be written as:

$$\frac{dX_n}{dt} = -\Gamma_n \left[X_n - (1 - X_n)e^{-Q/T} \right]$$

To perform this integration, it is useful to make a change of variable $x = Q/T$, giving

$$\frac{dX_n}{dx} = \frac{\Gamma_n}{H_1} x \left[e^{-x} - X_n(1 + e^{-x}) \right]$$

where H_1 is the x -independent part of the Hubble rate written as a function of x .

$$H = \sqrt{\frac{\rho}{3M_{\text{pl}}^2}} = \frac{\pi}{3} \sqrt{\frac{g_\star}{10}} \frac{Q^2}{M_{\text{pl}} x^2}, \quad \text{with } g_\star = 10.75.$$

$\equiv H_1 \approx 1.13 \text{ s}^{-1}$

Big-Bang Nucleosynthesis

Neutrons abundance

Step 1 (Decoupling):

The exact form of Γ_n depends on the lepton particles being considered. It's calculation can be done in Quantum Field Theory. Using the approximation:

$$\Gamma_n(x) = \frac{255}{\tau_n} \cdot \frac{12 + 6x + x^2}{x^5}$$

where $\tau_n = 886.7$ s is the neutron half-time decaying period.

With these expressions the **numerical integration of the Boltzmann equation** (blue curve) would give:

$$X_n^\infty \equiv X_n(x = \infty) = 0.15$$

if neutrons wouldn't decay (Step 2)...

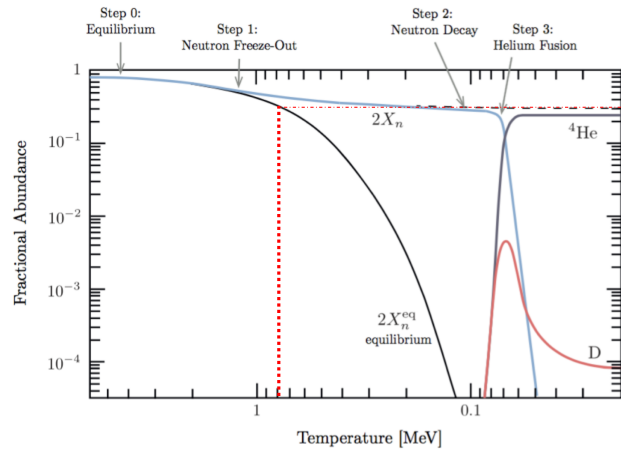
This is similar to the result in slide 17.

So just **before Neutron decay** one has:

$$n_B \simeq n_p + n_n \iff 1 \simeq X_p + X_n$$

and

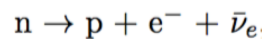
$$X_p \simeq 1 - X_n = 0.85 \quad ; \quad X_n/X_p \simeq 0.17$$



Big-Bang Nucleosynthesis

Neutrons abundance

Step 2 (Neutron decay): The decoupled neutrons also decay into protons via the process:



which has a half-time decaying period of $\tau_n = 886.7 \pm 0.8$ sec.. **This can only start effectively enough when the universe is as old as this decaying period).**

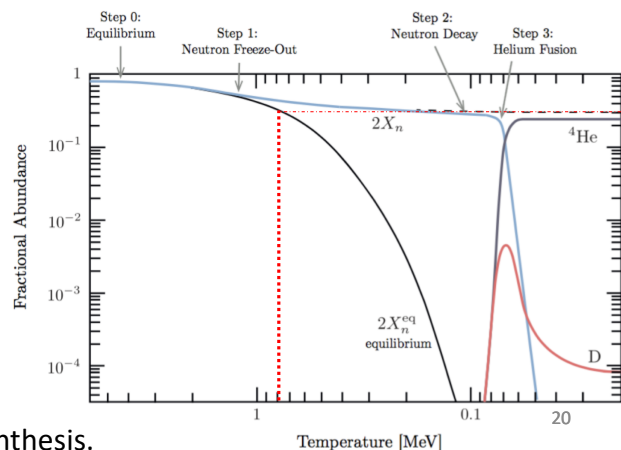
To include neutron decay into the calculation we simply multiply the freeze-out abundance by an exponential term characteristic of nuclear decaying processes:

$$X_n(t) = X_n^\infty e^{-t/\tau_n} = \frac{1}{6} e^{-t/\tau_n}$$

Where t is related to temperature via a temperature time relation, as:

$$t = 132 \text{ s} \left(\frac{0.1 \text{ MeV}}{T} \right)^2$$

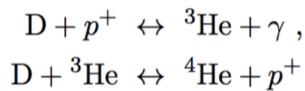
This decaying mechanism has strong implications for the nuclear species synthesis.



Big-Bang Nucleosynthesis

Helium abundance

Step 3 (Helium fusion): Helium is produced via the reactions:



that **require the existence of Deuterium**, which is produced via: $n + p^+ \leftrightarrow D + \gamma$
So, helium cannot be produced before a sufficient amount of deuterium is formed.

The **helium fraction abundance by the end of BBN** can be estimated as follows:

- Until before neutron decay all baryons are in the form of free protons and neutrons: $n_B^i \simeq n_p^i + n_n^i$
- By the end of BBN hydrogen (p) and helium nuclei are the 1st and 2nd most abundant elements (other nuclei are residual): $n_B^f \simeq n_p^f + 4n_{4\text{He}}^f$
- By the end of BBN **about half of the initial neutrons are inside helium nuclei** (because each nucleus of helium contains 2 neutrons): $n_{4\text{He}}^f = n_n^i/2$

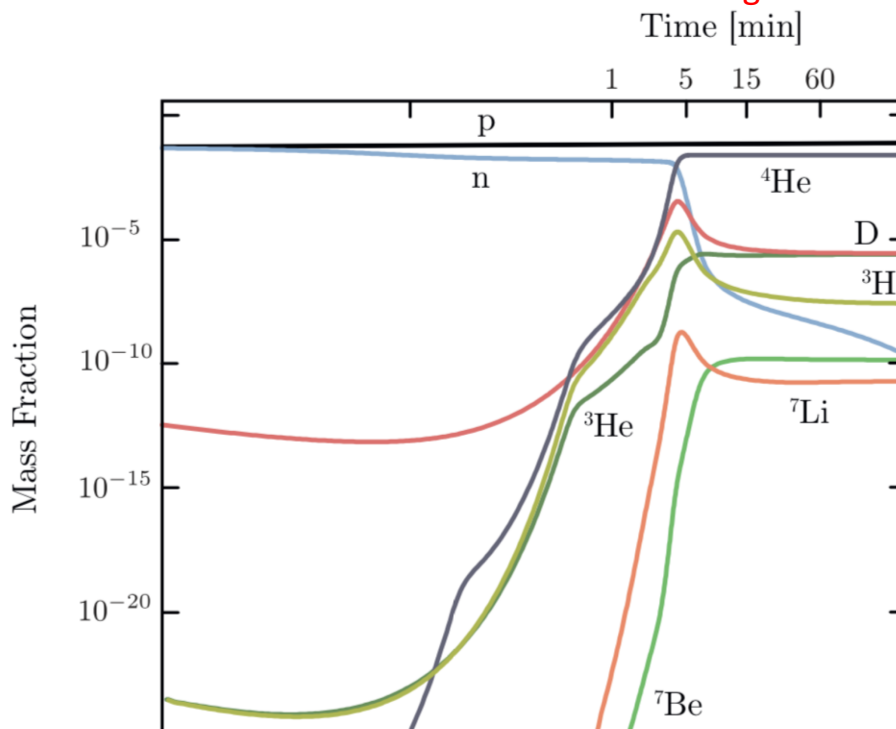
Using baryon conservation: $n_p^f + 4n_{4\text{He}}^f = n_p^i + n_n^i$

Under these approximations, the **Helium mass fraction abundance** becomes:

$$X_{4\text{He}} = \frac{4n_{4\text{He}}^f}{n_p^f + 4n_{4\text{He}}^f} = \frac{4n_n^i/2}{n_p^i + n_n^i} = \frac{2n_n^i}{n_p^i + n_n^i} = \frac{2n_n^i/n_p^i}{1 + n_n^i/n_p^i} = \frac{2X_n^i/X_p^i}{1 + X_n^i/X_p^i} \simeq \frac{2/7}{1 + 1/7} \simeq \frac{1}{4}$$

Big-Bang Nucleosynthesis

Numerical evolution of mass fraction abundances of light elements:



Big-Bang Nucleosynthesis

Comparison with observations:

Helium 4: constraints from ionized gas (metal poor) clouds

Deuterium: constraints from metal poor quasar absorption systems

Helium 3: is hard to constraint. Limits estimated from solar system and HII (metal abundant) regions in our galaxy

Lithium 7: constraints from low metallicity population II stars in our galaxy.

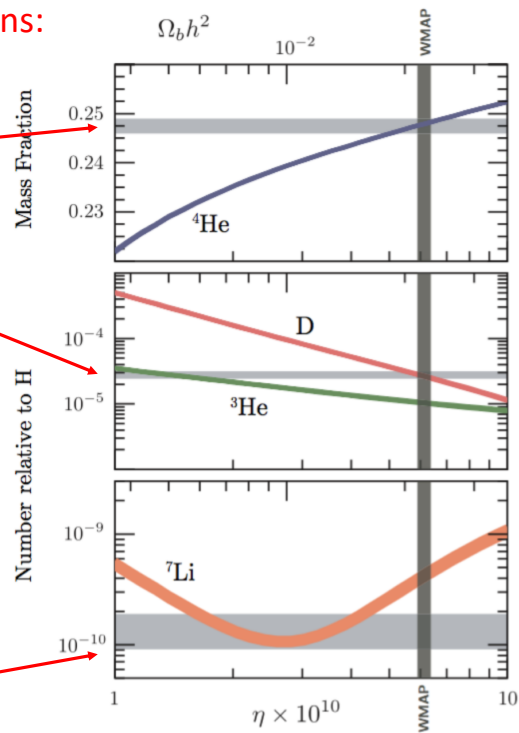


Figure 3.10: Theoretical predictions (colored bands) and observational constraints (grey bands).