## Universo Primitivo 2021-2022 (1º Semestre)

Mestrado em Física - Astronomia

### **Chapter 9**

### 9 Inflation

- Problems of the hot Big Bang theory revisited
- Conditions for Inflation
- Distances and horizons
- Cosmological scales and horizons;
- Scalar Field Dynamics;
- Slow-roll inflation;
- Reheating

## References



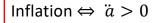
## Inflation

#### Problems of the hot Big Bang theory revisited

Friedmann-Lemaitre-Robertson-Walker (FLRW) models are able to describe the Universe expansion but they imply a decelerated expansion for any fluid component with an equation state parameter  $w = p/\rho c^2 > -1/3$ .

Since common matter and radiation have equations of state parameters with w > -1/3 this leads to the fatal conclusion that **the Universe's fate is to expand in a decelerated way**.

This leads to a number of difficulties known as the **hot Big Bang problems** (see next slides). A way to solve these problems is to develop a dynamical framework where **the FLRW Universes may be allowed to expand in a accelerated** way, at least during some periods of the Universe's history. These periods are called inflationary and allows one to define inflation as any phase of the universe's expansion when:



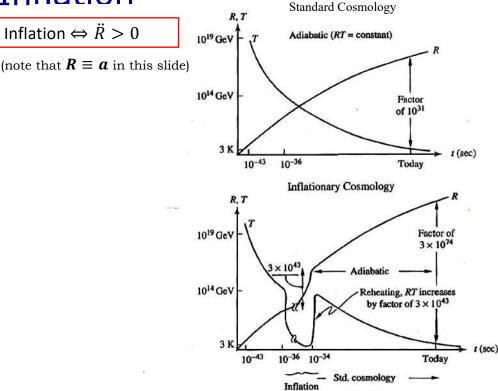


FIGURE 30.4 The evolution of the temperature of the universe and the scale factor, without and with inflation. Except for the bottom value, the temperature is given in terms of kT. (Figure adapted from Edward W. Kolb and Michael S. Turner, *The Early Universe* (page 274), ©1990 by Addison-Wesley Publishing Company, Inc., Reading, MA. Reprinted by permission of the publisher.)

### Inflation

#### Problems of the hot Big Bang theory revisited

FLRW models with decelerated expansions are inconsistent with some important observational evidences facts and pose a number of puzzling questions:

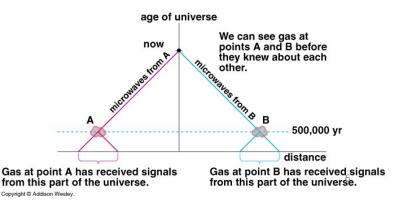
**The horizon problem**: The FRLW models allow one to compute the particle horizon of observer at any given time/redshift. The sky angular size of the particle horizon of an observer,  $\theta_H$ , at high redshift can be approximated by:

$$\theta_H \simeq \frac{180}{\pi} \sqrt{\frac{\Omega_0}{z}} \deg$$

so an observer at z = 1100 (living at epoch of CMB decoupling) has a particle horizon with an angular size on our observed sky of about ,  $\theta_H \simeq 0.95$  deg.

This means that there are about 54000 casual disconnect regions in the sky at CMB decoupling.

> So, why is CMB intensity spectrum so uniform temperature (2.725 °K) in all sky directions?



### Problems of the hot Big Bang theory revisited

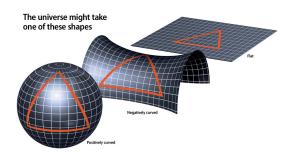
**The flatness problem**: At early times the Friedmann equation can be written as  $(\Omega = \Omega_m + \Omega_r)$ :

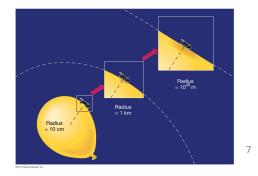
$$|\Omega(t) - 1| = \frac{|k|}{a^2(t)H^2(t)} = \frac{|k|}{\dot{a}^2(t)}$$

Since  $\dot{a}(t)$  decreases with time (because  $\ddot{a} < 0$ ) this denominator increases as  $t \rightarrow 0$ 

So the left hand side term should approach rapidly to zero as  $t \to 0$  (actually  $\dot{a}(t \to 0) \to \infty$ ). For  $t \simeq 1 \times 10^{-43}$  (~Planck time)  $\Omega$  should deviate no more than ~ $1 \times 10^{-60}$  from the unity.

# So, why is the Universe "starting" with a energy density parameter so extremely close to 1?





### Inflation Problems of the hot Big Bang theory revisited

#### The monopole and other exotic particles problem:

Quantum field theories (e.g. GUT, superstring) predict that a variety of "exotic" stable particles, such as magnetic monopoles, should be produced in the early Universe and remain in measurable amounts until the present.

#### No such particles have yet been observed. Why?

This either implies that the predictions from particle physics are wrong, or their densities are very small and therefore there's something missing from this evolutionary picture of the Big Bang.



### Inflation Problems of the hot Big Bang theory revisited

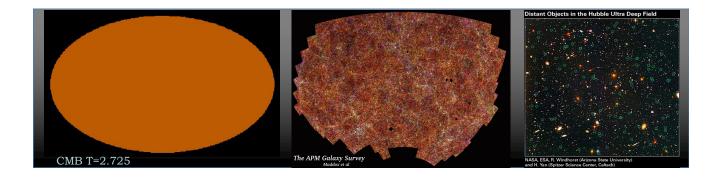
#### The origin of density fluctuations problem:

On large scales our present universe is fairly isotropic and homogeneous.

#### Why is that so?

At early times, that homogeneity and isotropy was even more "perfect" (due to the flattening effect effect at early times). Moreover, the FLRW universes form a very special subset of solutions of the GR equations.

So, why nature "prefers" homogeneity and isotropy from the beginning as opposed to having evolved into that stage?



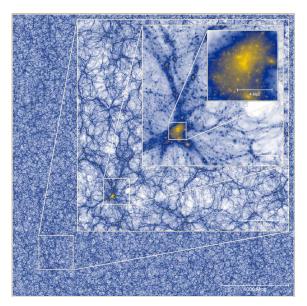
### Inflation Problems of the hot Big Bang theory revisited

#### The origin of density fluctuations problem:

Locally the universe is not homogeneous. It displays a complex hierarchical pattern of galaxies, clusters and super clusters.

What's the origin of cosmological structure? Does it grew from gravitational instability? What is the origin of the initial perturbations?

Without a mechanism to explain the existence of fluctuations one has to assume that they ``were born'' with the universe already showing the correct amplitudes on all scales, so that gravity can correctly reproduce the present-day structures?



#### Conditions for Inflation

If the Universe experience periods of accelerated expansion

Inflation  $\Leftrightarrow \ddot{a} > 0$ 

This requires that during these periods the Universe has to be dominated by a fluid component with an equation of state parameter w < -1/3:

Let's us first look at the acceleration condition

$$\ddot{a} > 0 \Leftrightarrow -\frac{\ddot{a}}{\dot{a}^2} < 0 \Leftrightarrow \frac{d}{dt} \left( \dot{a}^{-1} \right) < 0 \Leftrightarrow \frac{d}{dt} \left( aH \right)^{-1} < 0 \Leftrightarrow \frac{d}{dt} \left( cH^{-1}/a \right) < 0$$

The quantity  $R_H = cH^{-1}$  is the Hubble radius ( $v_H = c = HR_H$ ).

So inflation can also be defined as any period of the universe history when the commoving Hubble radius  $R_H$  is decreasing (shrinking).

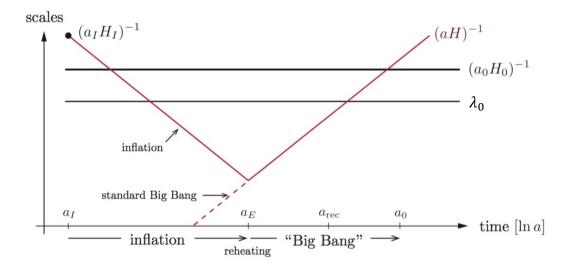
### Inflation

#### Cosmological scales and horizons

During inflation

- any comoving cosmological scale,  $\lambda_0$ , is fixed in time as:  $\lambda_0 = \lambda/a(t)$
- but the comoving (particle) horizon  $\sim R_H = (aH)^{-1}$  decreases with time

So, during inflation, physical scales inside the horizon at a given time grow faster and may become larger than (go beyond) the horizon.



#### **Conditions for Inflation**

The inflation conditions can be expressed in terms of other conditions. Let us first note that:

$$rac{d}{dt}(aH)^{-1}=-rac{\dot{a}H+a\dot{H}}{(aH)^2}=-rac{1}{a}(1-arepsilon)\;,\qquad ext{where}\quadarepsilon\equiv-rac{\dot{H}}{H^2}$$

From

$$\ddot{a} > 0 \Leftrightarrow \frac{d}{dt} (aH)^{-1} < 0 \Leftrightarrow -\frac{1}{a} (1 - \epsilon) < 0$$

So we conclude that inflation happens whenever

$$\varepsilon = -\frac{\dot{H}}{H^2} < 1$$

 $\epsilon$  is known as the *slowly-varying Hubble parameter*. As long as it is smaller than 1 inflation happens. The case  $\epsilon = 0$  is known as perfect inflation:

- The commoving Hubble radius is constant:  $\dot{H} = 0 \Leftrightarrow H = constant$
- de Sitter Universe expansion:  $\frac{\dot{a}}{a} = H \Leftrightarrow a(t) = a_i \exp(H(t t_i))$

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## Inflation

#### Conditions for Inflation

The inflation condition can also be written as:

$$\epsilon = -\frac{\dot{H}}{H^2} = -\frac{\dot{H}/H}{\dot{a}/a} = -\frac{d\ln(H)}{d\ln(a)} = -\frac{d\ln(H)}{dN} < 0$$

Where  $dN = d \ln(a)$  is known as the **e-fold number**:

$$N = \int_{a_i}^a d \ln(a) = \ln\left(\frac{a}{a_i}\right)$$

The e-fold number is used to quantify how long the inflationary period must be in order to solve the Hot Big-Bang problems (usually  $N \sim 40 - 70$ ).

During the inflationary period,  $\epsilon$ , needs to remain small (below 1). It is then useful introduce a new parameter,  $\eta$ , that **measures how fast**  $\epsilon$  **changes** during inflation:

$$\eta \equiv \frac{d\ln\varepsilon}{dN} = \frac{\dot{\varepsilon}}{H\varepsilon}$$

Since  $\epsilon$  needs to remain small this means that  $\eta$  needs to remain small, as well. In general one should have:  $\eta < 1$  and  $\epsilon < 1$ 

#### **Conditions for Inflation**

The Friedmann and the continuity equations

$$H^{2} = \rho/3M_{pl}^{2}$$
$$\dot{\rho} = -3H(\rho + P)$$

Can be combined to relate,  $\epsilon$ , with the equation of state parameter. One has:

$$\varepsilon = -\frac{\dot{H}}{H^2} = \frac{3}{2} \left( 1 + \frac{P}{\rho} \right) \, < \, 1 \qquad \Leftrightarrow \qquad w \equiv \frac{P}{\rho} < -\frac{1}{3}$$

Combining this equation with the continuity equation It is also possible to conclude that:

$$\left|\frac{d\ln\rho}{d\ln a}\right| = 2\varepsilon < 1$$

Which shows that for small  $\epsilon$  the energy density of the universe remains approximately constant during inflation. Conventional matter sources would dilute with the (exponential expansion). The energy density of whatever causes inflation needs to be an unconventional/unusual form of matter/energy.

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### Inflation

Conditions for Inflation (summary table):

• Accelerated expansion $\ddot{a} > 0$	$\frac{d(aH)^{-1}}{dt} = \frac{d}{dt}(\dot{a})^{-1} = -\frac{\ddot{a}}{(\dot{a})^2} < 0  \Rightarrow  \boxed{\ddot{a} > 0}$
• Slowly-varying Hubble $\varepsilon \equiv -\frac{\dot{H}}{H^2} < 1$	$\frac{d(aH)^{-1}}{dt} = -\frac{\dot{a}H + a\dot{H}}{(aH)^2} = \frac{(\varepsilon - 1)}{a} < 0  \Rightarrow  \boxed{\varepsilon < 1}$
• Exponential expansion $ds^2 \approx dt^2 - e^{2Ht} dx^2$	$\varepsilon \ll 1 \rightarrow H = \frac{\dot{a}}{a} \approx const. \Rightarrow a(t) = e^{Ht}$
• Negative pressure $w \equiv \frac{P}{\rho} < -\frac{1}{3}$	$\frac{\ddot{a}}{a} = -\frac{\rho + 3P}{6M_{\rm pl}^2} > 0  \Rightarrow  \boxed{\rho + 3P < 0}$
• Constant density $\left \frac{d\ln\rho}{d\ln a}\right  = 2\varepsilon < 1$	$H^2 \propto \rho \rightarrow 2H\dot{H} \propto \dot{\rho} \Rightarrow \varepsilon = -\frac{1}{2}\frac{\dot{\rho}}{H\rho}$

Basic Picture

Let us now look intuitively how the inflation condition

inflation  $\Leftrightarrow \ddot{a} > 0 \Leftrightarrow \frac{d}{dt} (cH^{-1}/a) < 0$ 

may be used to solve the Hot Big-Bang problems

#### Flatness problem:

If the expansion is accelerating,  $\ddot{a} > 0$ , the derivative of the scale factor  $\dot{a}$  is an increasing function of time. So it decreases as we go back in time

$$|\Omega(t) - 1| = \frac{|k|}{a^2(t)H^2(t)} = \underbrace{|k|}_{\dot{a}^2(t)}$$
 Is an increasing function of time, so:  $\dot{a}(t \to 0) \to 0$ 

the flatness problem is therefore solved because...

# The Universe can in principle "start" with a energy density parameter far from 1.

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## Inflation

#### Basic Picture

Let us now look intuitively how the inflation condition

inflation 
$$\Leftrightarrow \ddot{a} > 0 \Leftrightarrow \frac{d}{dt} (cH^{-1}/a) < 0$$

may be used to solve the Hot Big-Bang problems

#### Flatness problem:

How much inflation do we need?

Note that during inflation  $\epsilon = -\dot{H}/H^2 < 1$  is small, so  $\dot{H} \sim 0$  and  $H \sim \text{constant}$  during the period of inflation  $t \in [t_i, t_e]$ . This means that:

$$\Omega - 1 = \frac{k}{a^2 H^2} \sim \frac{1}{a^2} \longrightarrow \frac{|\Omega - 1|_e}{|\Omega - 1|_i} = \left(\frac{a_i}{a_e}\right)^2 = e^{-2N}$$

Since, by the end of inflation one needs to have  $|\Omega - 1|_e \sim 10^{-60}$  and one wants not to have  $\Omega$  arbitrarily different from 1, let's say  $|\Omega - 1|_i \sim 1$ , one concludes that:

$$e^{-2N} \approx 10^{-60} \Rightarrow N \approx 69.$$

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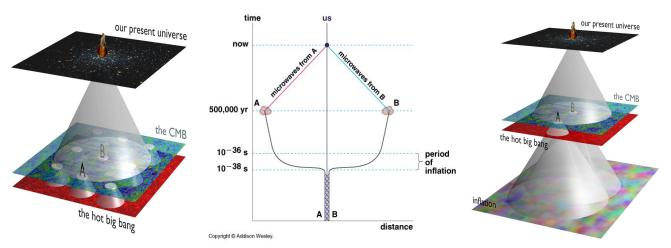
**Basic Picture** 

Let us now look intuitively how the inflation condition

inflation 
$$\Leftrightarrow \ddot{a} > 0 \Leftrightarrow \frac{d}{dt} (cH^{-1}/a) < 0$$

may be used to solve the SMC problems

**The horizon problem**: If the accelerated expansion happens in a early phase of the Universe, during a long enough period, in principle, all causally disconnected sky patches of the CMB can be put in causal contact.



## Inflation

#### **Distances and Horizons**

Let us consider the travel of light along radial ( $d\theta = d\phi = 0$ ) geodesics in a FLRW metric

$$egin{array}{rll} ds^2 &=& dt^2 \; -a^2(t) \left[ rac{dr^2}{1-kr^2} + r^2(d heta^2 + \sin^2 heta d\phi^2) 
ight], \ &=& dt^2 \; -a^2(t) \left[ d\chi^2 + f_k(\chi) (d heta^2 + \sin^2 heta d\phi^2) 
ight], \end{array}$$

written in a conformal way with the introduction of the **conformal time** d au = dt/a

$$\mathrm{d}s^2 = a^2( au) \left[\mathrm{d} au^2 - \mathrm{d}\chi^2\right]$$

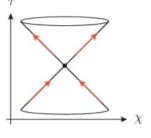
(with  $d\chi = dr$  for flat geometries), So light rays ( $ds^2 = 0$ ) travel along geodesics with

$$\Delta\chi( au)=\pm\Delta au$$

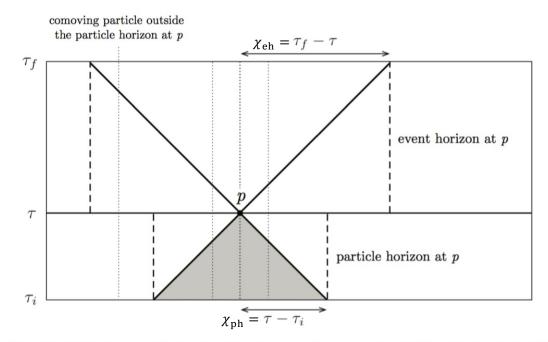
From integrating this we can define the notions of:

• Particle horizon: 
$$\chi_{\rm ph}( au) = au - au_i = \int_{t_i}^t rac{{\rm d}t}{a(t)}$$
 with  $t_i = 0$ 

• Event horizon:  $\chi_{
m eh}( au)= au_f- au=\int_t^{t_f}rac{{
m d}t}{a(t)}$  with  $t_f=\infty$ 



### Inflation Distances and Horizons



**Figure 2.1:** Spacetime diagram illustrating the concept of horizons. Dotted lines show the worldlines of comoving objects. The event horizon is the maximal distance to which we can send signal. The particle horizon is the maximal distance from which we can receive signals.

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# Inflation

#### **Distances and Horizons**

The particle horizon,  $\chi_{ph}$ , the maximal commoving distance travelled by light until a time t, can be computed as follows:

$$\chi_{\rm ph}(\tau) = \int_{t_i}^t \frac{\mathrm{d}t}{a} = \int_{a_i}^a \frac{\mathrm{d}a}{a\dot{a}} = \int_{\ln a_i}^{\ln a} (aH)^{-1} \,\mathrm{d}\ln a$$

with  $t_i = 0$ ;  $a_i = 0$ .

Let us evaluate this integral for a perfect (single component), with EoS w, where the scale factor evolves as  $a = a_i t^{2/3(1+w)}$ . The **commoving Hubble radius** inside the last integral is (Exercise):

$$(aH)^{-1} = H_0^{-1} a^{\frac{1}{2}(1+3w)}$$

For any fluid component with an equation state parameter w. All familiar matter sources have 1 + 3w > 0 (this is an implication of the so called **strong energy condition** (SEC)). So in the Hot Big-Bang theory model the commoving Hubble radius is always increasing.

Using the above expressions in the integral one finds (with  $t_i = 0$ ), see next page:

#### **Distances and Horizons**

The particle horizon,  $\chi_{ph}$ , will then give:

$$\chi_{\rm ph}(a) = \frac{2H_0^{-1}}{(1+3w)} \left[ a^{\frac{1}{2}(1+3w)} - a_i^{\frac{1}{2}(1+3w)} \right] \equiv \tau - \tau_i$$

Note that for **standard Friedmann evolution**, where SEC grants 1 + 3w > 0, the second term goes to zero:  $\tau_i(a_i \rightarrow 0) \rightarrow 0$ .

So in that case

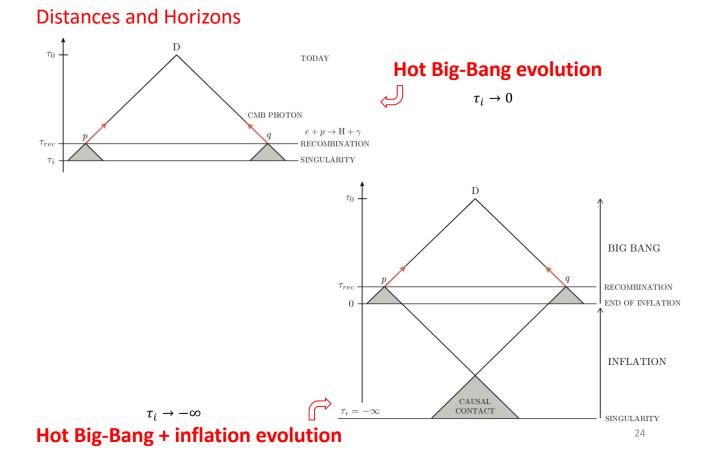
Inflation

$$\chi_{
m ph}(t) = rac{2H_0^{-1}}{(1+3w)} \, a(t)^{rac{1}{2}(1+3w)} = rac{2}{(1+3w)} \, (aH)^{-1}$$

And one concludes that:

- the comoving particle horizon is proportional (and of the same order) to the comoving Hubble radius
- The comoving Hubble radius is always increasing.

But since **during inflation, SEC is violated,** 1 + 3w < 0, the second term in the first equation of this slide goes to minus infinity:  $\tau_i(a_i \rightarrow 0) \rightarrow -\infty$ . The first term,  $\tau$ , also is negative, but less negative than  $\tau_i$  and therefore and  $\chi_{ph} > 0$ ,  $\chi(a_i \to 0) = \infty^3$ .



**Basic Picture** 

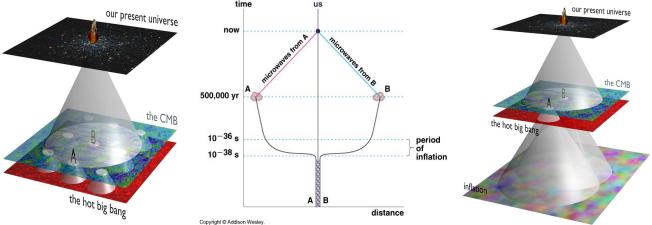
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inflation 
$$\Leftrightarrow \ddot{a} > 0 \Leftrightarrow \frac{d}{dt} (cH^{-1}/a) < 0$$

may be used to solve the SMC problems

**The monopole problem:** If the universe expands sufficiently after monopoles are produced their abundance can be too low to be observed.

The homogeneity problem: our visible universe comes from a causally connected region that expanded a lot so it looks fairly isotropic and homogeneous



## The Theory of Inflation

Inflation also provides a mechanism for the origin of fluctuations...

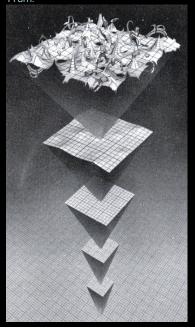
### ... fluctuations (density and grav. waves) are due to quantum fluctuations about the inflaton field's vacuum state

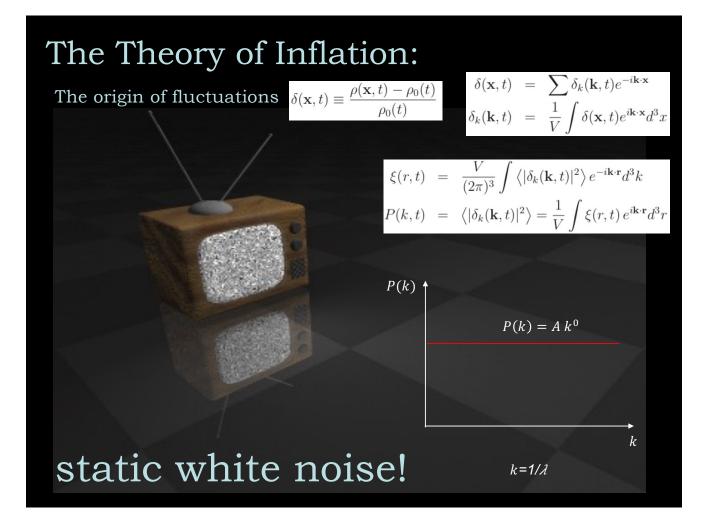
The inflation (inflaton) field has energy density fluctuations allowed by the Heisenberg uncertainty principle:

 $\Delta E_{\phi} > h/(4\pi\Delta t)$ 

The simplest models of inflation predict random fluctuations with a "power spectrum" that has the same amplitude on all scales (scale Invariant power spectrum). Just like...









### The Theory of Inflation

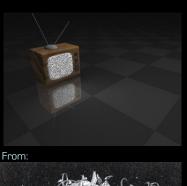
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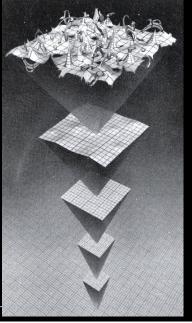
#### ... fluctuations (density and grav. waves) are due to quantum fluctuations about the inflaton field's vacuum state

The inflation (inflaton) field has energy density fluctuations allowed by the Heisenberg uncertainty principle:

 $\Delta E_{\Phi} > h/(4\pi\Delta t)$ 

During inflation fluctuations are "inflated" to macroscopic scales > physically connected scales become larger than the horizon scale and "freeze". Latter they will re-enter the horizon





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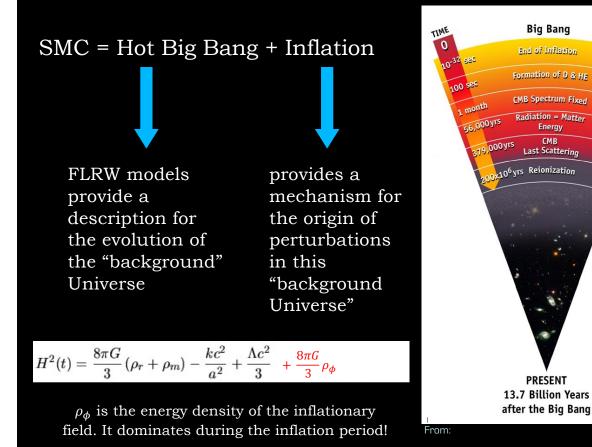
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8,800 K

2,970

Energy

### Standard Model of Cosmology (SMC)



#### Standard Model of Cosmology (SMC) **Big Bang** TIME SMC = Hot Big Bang + Inflation End of Inflation Formation of D & HE 100 sec CMB Spectrum Fixed After the end of inflation the 1 month 107 Radiation = Matter 56,000 yrs 8,800 universe resumes the usual Energy СМВ 2,970 Friedmann evolutionary periods nooyr Last Scattering 10<sup>6</sup> yrs Reionization $H^{2}(t) = \frac{8\pi G}{3} \left(\rho_{r} + \rho_{m}\right) - \frac{kc^{2}}{a^{2}} + \frac{\Lambda c^{2}}{3} + \frac{8\pi G}{3} \rho_{\phi}^{0}$ $=H_0^2\left[\Omega_{r0}\left(rac{a_0}{a} ight)^4+\Omega_{m0}\left(rac{a_0}{a} ight)^3+\Omega_{k0}\left(rac{a_0}{a} ight)^2+\Omega_{\Lambda 0} ight]$ • Background evolution is progressively dominated by: Radiation •Matter PRESENT •Dark Energy 13.7 Billion Years after the Big Bang

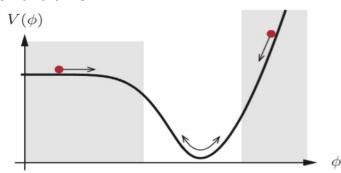
# Inflation

#### Scalar field Dynamics

Inflation is usually modelled by a scalar field  $\phi = \phi(x^i, t)$ , called the **inflaton field**, that can generally be a function of position and time.

Associated with each field value there's a **potential energy**,  $V(\phi)$ , and If the field depends on time, the field also carries **kinetic energy**.

Using the Noether's theorem one can Prove that the energy-stress tensor of any scalar Field can be computed as:



From:

$$T_{\mu
u} \,=\, \partial_\mu \phi \partial_
u \phi - g_{\mu
u} \left( rac{1}{2} g^{lphaeta} \partial_lpha \phi \partial_eta \phi - V(\phi) 
ight)$$

For a homogeneous and isotropic FLRW universe, without perturbations (ie inhomogeneities) the field is only a function of time,  $\phi = \phi(t)$ . Computing,  $T_0^0 = \rho_{\phi}$ , and  $T_j^i = -P_{\phi} \, \delta_j^i$  one obtains:

$$ho_{\phi} \,=\, rac{1}{2} \dot{\phi}^2 + V(\phi) \qquad \qquad P_{\phi} \,=\, rac{1}{2} \dot{\phi}^2 - V(\phi)$$

#### Scalar field Dynamics: Klein-Gordan equation

Using  $\rho_{\pmb{\phi}}$  in the Friedmann equation gives:

$$H^2\,=\,rac{1}{3M_{
m pl}^2}\left[rac{1}{2}\dot{\phi}^2+V
ight]$$

(Friedmann equation)

Taking the time derivative one finds:

$$2H\dot{H}=rac{1}{3M_{
m pl}^2}\left[\dot{\phi}\ddot{\phi}+V'\dot{\phi}
ight]$$

where  $V' \equiv dV/d\phi$ .

Using  $ho_{m \phi}$  and  $P_{m \phi}$  in the **acceleration equation** and combining it with the Friedmann equation, one obtains:

$$\dot{H} \,=\, -rac{1}{2}rac{\dot{\phi}^2}{M_{
m pl}^2}\,,$$

(Acceleration equation)

This shows that the acceleration of the universe is sourced by the kinetic energy of the inflaton field. Combining these two last expressions one obtains the Klein-Gordan equation that describes the evolution of the inflationary field:

$$\ddot{\phi} + 3H\dot{\phi} + V' = 0$$
 (Klein-Gordan equation)

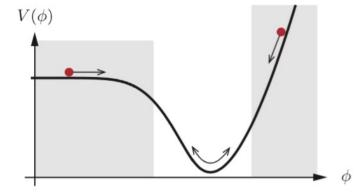
### Inflation

Slow roll inflation

Combining the expressions:  $\varepsilon \equiv -\frac{\dot{H}}{H^2}$  and  $\dot{H} = -\frac{1}{2}\frac{\dot{\phi}^2}{M_{\rm pl}^2}$ , gives:

$$arepsilon = rac{1}{2} \dot{\phi}^2 \ M_{
m pl}^2 H^2$$

This means that inflation  $\epsilon < 1$  only occurs if the contribution of the kinetic energy of the field to the total energy is small. When this happens the field is said to be **slow rolling**.



The time derivative of  $\epsilon$  gives:

$$\dot{arepsilon} = rac{\dot{\phi}\ddot{\phi}}{M_{
m pl}^2H^2} - rac{\dot{\phi}^2\dot{H}}{M_{
m pl}^2H^3}$$

Which allows us to compute the  $\eta$  parameter as:

$$\eta = \frac{\dot{\varepsilon}}{H\varepsilon} = 2\frac{\ddot{\phi}}{H\dot{\phi}} - 2\frac{\dot{H}}{H^2} = 2(\varepsilon - \delta)$$

where  $\delta \equiv -\ddot{\phi}/H\dot{\phi}$ .

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### Inflation Slow roll inflation

The conditions  $\epsilon < 1$  and  $|\eta| < 1$  are a guaranty that inflation happens and persists. Since this implies that the kinetic energy

of the field is small one can assume the  $V(\phi)$  slow roll inflation conditions:

 $\{\epsilon, |\eta|\} \ll 1$ 

and approximate the Friedmann and Klien Gordon equations as:

- Friedmann ( $\dot{\phi}^2 \sim 0$ ):  $H^2 pprox rac{V}{3M_{
  m pl}^2}$
- Klein Gordan (  $\ddot{\phi}{\sim}0$ ):  $3H\dot{\phi}pprox-V'$

Combining these equations (plus taking the time derivative of the Klein Gordon equation) allows one to write the  $\{\epsilon, |\eta|\}$  parameters as function of the potential and its derivatives:

$$\epsilon_{
m v} \equiv rac{M_{
m pl}^2}{2} \left(rac{V'}{V}
ight)^2 ~,~ |\eta_{
m v}| \equiv M_{
m pl}^2 rac{|V''|}{V}$$
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# Inflation

#### Slow roll inflation

The total amount of e-folds (which gives by how much the universe expands during the inflationary period) can be derived from our knowledge of the inflationary potential.

$$N_{
m tot}\equiv\int_{a_I}^{a_E}{
m d}\ln a=\int_{t_I}^{t_E}H(t)\,{
m d}t$$

Here,  $t_I$  and  $t_E$  are the times when inflation begins and ends, which happens when:

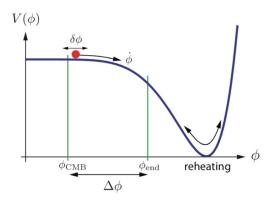
$$\varepsilon(t_I) = \varepsilon(t_E) \equiv 1.$$

The integrand function above, can be approximated by (note that  $\varepsilon = \frac{\frac{1}{2}\dot{\phi}^2}{M_{\rm pl}^2 H^2}$ ):

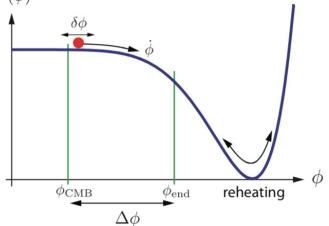
$$H \mathrm{d}t = \frac{H}{\dot{\phi}} \mathrm{d}\phi = \pm \frac{1}{\sqrt{2\varepsilon}} \frac{\mathrm{d}\phi}{M_{\mathrm{pl}}} \approx \frac{1}{\sqrt{2\epsilon_{\mathrm{v}}}} \frac{|\mathrm{d}\phi|}{M_{\mathrm{pl}}}$$

Which leads to:

$$N_{
m tot} = \int_{\phi_I}^{\phi_E} rac{1}{\sqrt{2\epsilon_{
m v}}} rac{|{
m d} \phi|}{M_{
m pl}}$$



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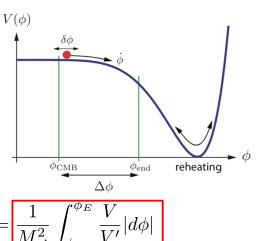


Slow roll inflation

Using the the slow-roll expression  $\epsilon_V$ ,

$$\epsilon_{\rm v} \equiv \frac{M_{\rm pl}^2}{2} \left(\frac{V'}{V}\right)^2$$

in the number of e-folding integral one gets



 $N_{\text{tot}} = \int_{\phi_I}^{\phi_E} \frac{1}{\sqrt{2\epsilon_V}} \frac{|d\phi|}{M_{\text{pl}}} = \int_{\phi_I}^{\phi_E} \frac{V}{M_{\text{pl}}V'} \frac{|d\phi|}{M_{\text{pl}}} = \frac{1}{M_{\text{pl}}^2} \int_{\phi_I}^{\phi_E} \frac{V}{V'} |d\phi|$ Since the number of e-foldings is counted from the moment inflation begins, it is usual to refer to  $t_I$  as the instant "N e-foldings before inflation ends", and  $\phi_I$  is often expressed as  $\phi_N$ , the inflaton field value N-efoldings before the end of inflation (In

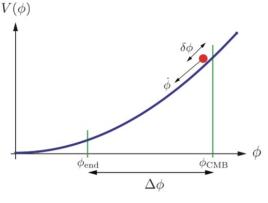
fact, this instant scale is the latest to re-enter the "sound" horizon). So one can also write:

$$N = 8\pi G \int_{\phi_e}^{\phi_N} \frac{V}{V'} \, d\phi$$

### **Inflation** Working example: $V(\phi) = m^2 \phi^2/2$

This case belongs to an important class of potentials ( $V(\phi) \propto \phi^p$ ) known as **Large field inflation models** (the potential evolves over super-Planckian values).

This potential allows slow-rolling. The number of e-foldings under these conditions gives:



$$N = 8\pi G \int_{\phi_e}^{\phi_N} \frac{V}{V'} d\phi = \frac{8\pi G}{2} \int_{\phi_e}^{\phi_N} \phi \, d\phi = \frac{8\pi G}{4} (\phi_N^2 - \phi_e^2)$$

So the value of the field at a moment N e-foldings before  $\phi_e$  should be:

$$\phi_N^2 = \phi_e^2 + \frac{1}{2\pi G}N.$$

Now, we know that when inflation ends,  $\epsilon_V = 1$ , so using this in  $\epsilon_V$  one has:

$$\frac{1}{16\pi G} \left(\frac{V'}{V}\right)_e^2 = 1 \qquad \Rightarrow \qquad \left(\frac{m^2 \phi_e}{\frac{1}{2}m^2 \phi_e^2}\right)^2 = 16\pi G$$

Solving for  $\phi_E$  (see next slide)...

### **Inflation** Working example: $V(\phi) = m^2 \phi^2/2$

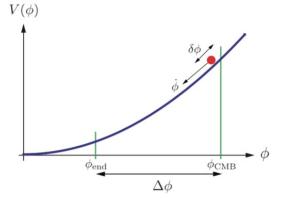
This case belongs to an important class of potentials ( $V(\phi) \propto \phi^p$ ) known as Large field inflation models (the potential evolves over super-Planckian values).

Solving for  $\phi_E$  (continuation from previous slide), one obtains:

$$\phi_e^2 = \frac{1}{4\pi G} \approx 0.08 m_{\rm Pl}^2$$
  
$$\phi_N^2 = \phi_e^2 + \frac{1}{2\pi G} N = \frac{m_{\rm Pl}^2}{2\pi} \left( N + \frac{1}{2} \right) \approx \frac{m_{\rm Pl}^2}{2\pi} N,$$

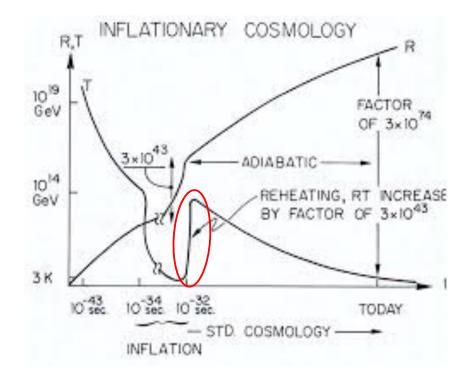
For  $N \simeq 70$  these give:

$$\phi_E pprox 0.3 m_{
m Pl}$$
  
 $\phi_N pprox 3.3 m_{
m Pl}$ 



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### Inflation Re-heating



#### **Re-heating**

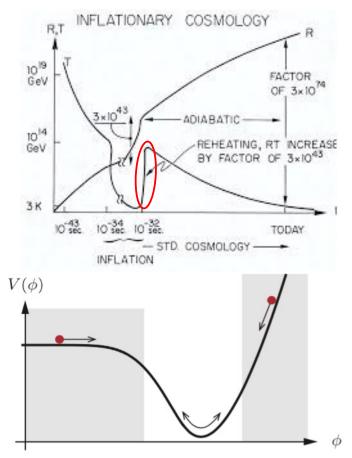
During the inflationary period most of the energy density of the Universe is given by the inflationary potential.

As inflation ends, the kinetic energy associated with the inflaton field is no longer negligible and the energy in the field is transferred to the matter/energy species of the fluid.

$$\dot{
ho}_{\phi} + 3H
ho_{\phi} + \Gamma
ho_{\phi} = 0,$$
  
 $\dot{
ho}_R + 3H
ho_R - \Gamma
ho_{\phi} = 0.$ 

Where  $\Gamma$  is the so called **energy width** of the inflaton decay ( $\rho_R$  is the energy density of relativistic fields).

This process is know as **reheating** and It is followed by the hot big bang evolutionary phase of the universe.



## Inflation

#### **Re-heating**

The basic idea behind reheating is that this period starts when  $\phi$  begins to oscillate with a friction term about the minimum of the inflationary potential.

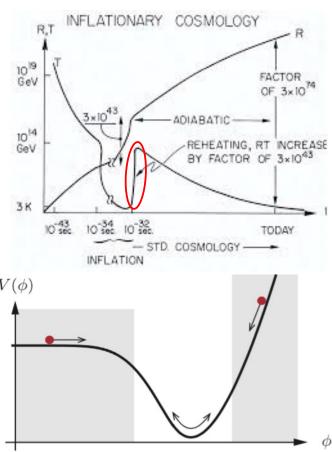
For example, taking a quadratic potential  $V = m^2 \phi^2/2$ , the Klein-Gordon and the continuity equations give:

$$\ddot{\phi}+3H\dot{\phi}=-m^2\phi$$

$$\dot{
ho}_{\phi}+3H
ho_{\phi}=-3HP_{\phi}=-rac{3}{2}H(m^{2}\phi^{2}-\dot{\phi}^{2})$$

Oscillations decrease in

amplitude due to the friction term. By the end of the process all energy of the field is transferred, leading to the beginning of the hot Big-Bang evolution.



### Standard Model of Cosmology (SMC)

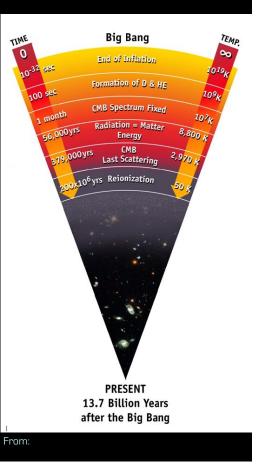
### SMC = Hot Big Bang + Inflation

After the end of inflation the universe resumes the usual Friedmann evolutionary periods

$$egin{aligned} H^2(t) &= rac{8\pi G}{3} \left( 
ho_r + 
ho_m 
ight) - rac{kc^2}{a^2} + rac{\Lambda c^2}{3} \ &= H_0^2 \left[ \Omega_{r0} \left( rac{a_0}{a} 
ight)^4 + \Omega_{m0} \left( rac{a_0}{a} 
ight)^3 + \Omega_{k0} \left( rac{a_0}{a} 
ight)^2 + \Omega_{\Lambda 0} 
ight] \end{aligned}$$

• Background evolution is progressively dominated by:

- Radiation
- •Matter
- •Dark Energy

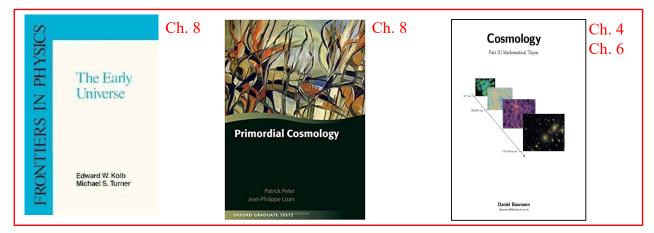


### **Chapter 10 (summary)**

Inflation: the origin of perturbations

- The Basic Picture;
- Cosmological perturbation theory
- Quantum fluctuations in the de Sitter space;
- Primordial power spectra from inflation;
- CMB power spectrum

### References



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## Inflation: the basic picture

The Inflationary phase of the Universe needs to happen at very early times. Present data is consistent with an inflationary period that lasted for about around  $\Delta t \sim 10^{-36}$  at cosmic time of about  $t \sim 10^{-32} - 10^{-33}$  seconds

In these conditions the **inflaton field has a quantum nature** and its energy density is quantified. The **Heisenberg uncertainty principle** allows the origin of energy density fluctuations given the short timescales involved.

$$\Delta E_{\phi} > h/(4\pi\Delta t)$$

The inflation field,  $\phi(x, t)$ , therefore acquires a spatial dependence due to quantum fluctuations,  $\delta\phi(x, t)$ , about its "background" Value,  $\phi(t)$ :

 $\boldsymbol{\phi}(\boldsymbol{x},\boldsymbol{t}) = \boldsymbol{\phi}(\boldsymbol{t}) + \boldsymbol{\delta}\boldsymbol{\phi}(\boldsymbol{x},\boldsymbol{t})$ 

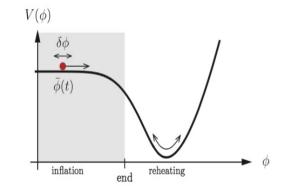
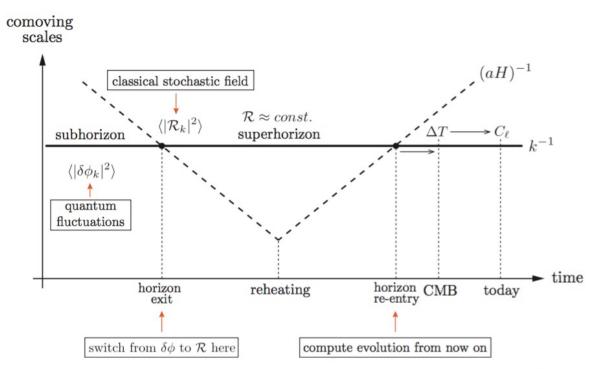


Figure 6.1: Quantum fluctuations  $\delta\phi(t, \mathbf{x})$  around the classical background evolution  $\bar{\phi}(t)$ . Regions acquiring a negative fluctuations  $\delta\phi$  remain potential-dominated longer than regions with positive  $\delta\phi$ . Different parts of the universe therefore undergo slightly different evolutions. After inflation, this induces density fluctuations  $\delta\rho(t, \mathbf{x})$ .

## Inflation: the basic picture



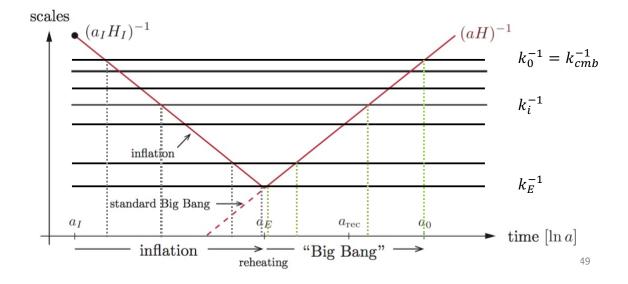
**Figure 6.2:** Curvature perturbations during and after inflation: The comoving horizon  $(aH)^{-1}$  shrinks during inflation and grows in the subsequent FRW evolution. This implies that comoving scales  $k^{-1}$  exit the horizon at early times and re-enter the horizon at late times. While the curvature perturbations  $\mathcal{R}$  are outside of the horizon they don't evolve, so our computation for the correlation function  $\langle |\mathcal{R}_k|^2 \rangle$  at horizon exit during inflation can be related directly to observables at late times.

### Inflation: the basic picture

At horizon crossing of a given comoving scale  $\lambda = 1/k$ , one necessarily has:

$$k^{-1} = (aH)^{-1} \quad \Leftrightarrow \quad k = aH$$

So the (comoving) Fourier mode k are simply giving (the inverse) of the comoving Hubble radius at a given epoch.



## Inflation: the basic picture

#### Key steps to understand how perturbations are generated by inflation:

- At early time all perturbation modes of interest are casually connected, i.e. correspond to  $k = 1/\lambda$  larger then the horizon: k > aH.
- On these (small) scales perturbations in the inflaton field are described by a collection of harmonic oscillators (see Mukahnov-Sasaki Equation chap 10)
- These perturbations have quantum nature and can be followed using quantum mechanics canonical quantification. Their amplitudes have a non-zero variance:

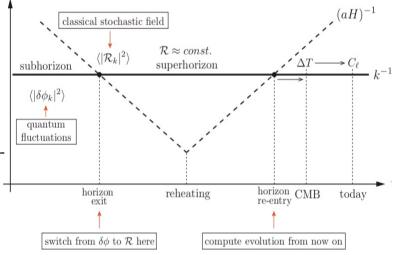
 $\langle |\delta \phi_k|^2 \rangle \equiv \langle 0||\delta \phi_k|^2|0 \rangle$ 

 Inflaton perturbations induce comoving curvature fluctuations. In the spatially flat gauge

$$\mathcal{R} = -\frac{\mathcal{H}}{\bar{\phi}'}\,\delta\phi$$

 Thus the curvature (gauge-invariant) fluctuations have a nonzero variance:

$$\langle |\mathcal{R}_k|^2 \rangle = \left(\frac{\mathcal{H}}{\bar{\phi}'}\right)^2 \langle |\delta\phi_k|^2 \rangle$$



### Quantum fluctuations in de Sitter space Comoving curvature power spectrum:

The power spectra of these quantities is related via:

$$\Delta_{\mathcal{R}}^2 = \frac{1}{2\varepsilon} \frac{\Delta_{\delta\phi}^2}{M_{\rm pl}^2} , \qquad \text{where} \quad \varepsilon = \frac{\frac{1}{2}\dot{\phi}^2}{M_{\rm pl}^2 H^2}$$

So the power spectrum of the comoving curvature fluctuations is:

$$\Delta_{\mathcal{R}}^2(k) = \left. \frac{1}{8\pi^2} \frac{1}{\varepsilon} \frac{H^2}{M_{\rm pl}^2} \right|_{k=aH}$$

which is gauge invariant and remains constant when the wavenumber k leaves the horizon scale ( $k_H = aH$ ) during inflation.

Since the right-hand size of the power spectra is evaluated at horizon crossing, k = aH, the power spectrum is purely a function of k. It is often useful to model this k dependence as:

$$\Delta_{\mathcal{R}}^2(k) \equiv A_s \left(\frac{k}{k_\star}\right)^{n_s - 1}$$

CMB observations impose constrains on  $A_s = (2.196 \pm 0.060) \times 10^{-9}$  at  $k_* = 0.05$  Mpc<sup>-1</sup>. For the scalar spectral index constraints are  $n_s = 0.9603 \pm 0.0073$ .

### The matter power spectrum

The observable matter perturbations at a given time (redshift) are related to the curvature perturbations at horizon re-entry:

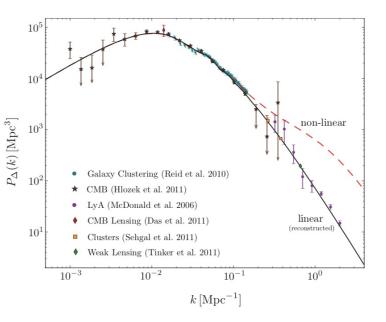
$$\Delta_{m,k}(z) = T(k,z) \mathcal{R}_k$$

where T(k, z) is known as **transfer function** that gives the way fluctuations evolve from horizon re-entry until a given time (redshift)

The corresponding matter power spectrum is simply:

$$P_{\Delta}(k,z) \equiv |\Delta_{m,\boldsymbol{k}}(z)|^2 = T^2(k,z) |\mathcal{R}_{\boldsymbol{k}}|^2$$

To compute the transfer function one needs a Boltzmann code that Is able to properly describe the full evolution of all matter components throughout the phases of the standard Big Bang Model evolution.



### Quantum fluctuations in de Sitter space Comoving curvature power spectrum:

The spectral index one can be defined as:

$$n_s - 1 \equiv \frac{d\ln\Delta_{\mathcal{R}}^2}{d\ln k}$$

This can be split in two factors:

$$\frac{d\ln\Delta_{\mathcal{R}}^2}{d\ln k} = \frac{d\ln\Delta_{\mathcal{R}}^2}{dN} \times \frac{dN}{d\ln k}$$

The derivative with respect to e-folds is

$$\frac{d\ln\Delta_{\mathcal{R}}^2}{dN} = 2\frac{d\ln H}{dN} - \frac{d\ln\varepsilon}{dN} . \qquad (6.5.63)$$

The first term is just  $-2\varepsilon$  and the second term is  $-\eta$  (see Chapter 2). The second factor in (6.5.62) is evaluated by recalling the horizon crossing condition k = aH, or

$$\ln k = N + \ln H \;. \tag{6.5.64}$$

Hence, we have

e ecrã

$$\frac{dN}{d\ln k} = \left[\frac{d\ln k}{dN}\right]^{-1} = \left[1 + \frac{d\ln H}{dN}\right]^{-1} \approx 1 + \varepsilon .$$
(6.5.65)

To first order in the Hubble slow-roll parameters, we therefore find

$$n_s - 1 = -2\varepsilon - \eta$$
 (6.5.66)