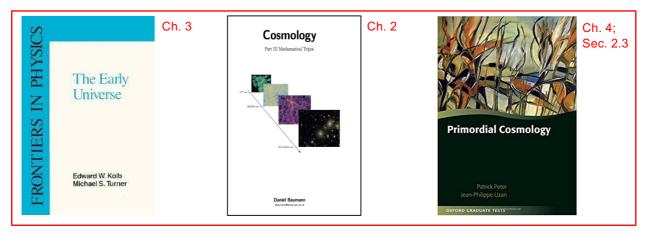
# Universo Primitivo 2018-2019 (1º Semestre)

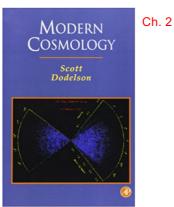
#### Mestrado em Física - Astronomia

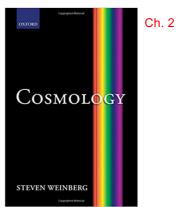
#### **Chapter 3**

- 3. Thermodynamics in an expanding universe
  - Natural Units:
  - Classification and properties of elementary particles;
  - Thermal evolution at equilibrium:
    - Density of states and macroscopic properties
    - Number density, energy density and pressure
      - Ultra-relativistic limit
      - Non-relativistic limit
  - Effective number of degrees of freedom
    - Internal degrees of freedom of particles according to the standard model of particle physics
    - Evolution of relativistic degrees of freedom
  - Entropy at equilibrium
    - Effective number of degrees of freedom in entropy;
    - Entropy conservation an its consequences;
    - Entropy and Temperature time scaling for relativistic particles
  - Key events in the thermal history of the Universe

#### References







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#### **Natural Units**

In particle physics and Cosmology the expression "natural units" usually refers to setting the following fundamental constants equal to unity:

$$c=k_B=\hbar=1$$

These are the speed of light, the Boltzmann constant and the Planck constant ( $\hbar = h/2\pi$ ).

As a consequence, the following fundamental properties (time; length, temperature and mass) can be written in **units of energy** (usually expressed in GeV, MeV, keV):

$$1 \text{ s} = 1.5 \times 10^{24} \text{ GeV}^{-1},$$
 
$$1 \text{ m} = 5 \times 10^{15} \text{ GeV}^{-1},$$
 
$$1 \text{ K} = 8.6 \times 10^{-14} \text{ GeV} = 8.6 \times 10^{-5} \text{ eV},$$
 
$$1 \text{ kg} = 5.6 \times 10^{26} \text{ GeV}.$$

Where 
$$1 \text{eV} = 1.6 \times 10^{-19} \text{J}$$
  $\Rightarrow$   $1 \text{J} = 6.2 \times 10^9 \text{GeV}$ ;  $1 \text{ J} = 1 \text{ kg m}^2 \text{s}^{-2}$ 

#### **Natural Units**

To prove these, use the definitions of the following constants in the IS system and the definition of electron volt in Jules.

$$\begin{array}{lll} c=3\times 10^8~{\rm m~s^{-1}}, & {\rm velocidade~da~luz~no~v\'acuo;} \\ G=6.67\times 10^{-11}~{\rm m^3~kg^{-1}s^{-2}}, & {\rm constante~gravitacional;} \\ h=6.6\times 10^{-34}~{\rm J~s}, & {\rm constante~de~Planck;} \\ e=1.6\times 10^{-19}~{\rm C}, & {\rm carga~elementar;} \\ k_B=1.38\times 10^{-23}~{\rm J~K^{-1}}, & {\rm constante~de~Boltzmann.} \end{array}$$

Example: of the mass of known particles in MeV:

Espécie	Símbolo	Massa (MeV)	Carga $(e)$
Protão	p	938.3	+1
Neutrão	$\mathbf{n}$	939.6	0
Electrão	$\mathrm{e}^{-}$	0.511	-1
Neutrinos	$ u_e, u_\mu, u_ au$	?	0
Fotão	$\gamma$	0	0
Matéria Escura	_	?	0?
Energia Escura	_	?	?

# Classification of elementary particles

The Standard Model of Particle Physics (SMPF) predicts various families of particles some of them are **fundamental** and other "composite" particles.

Fundamental particles are not know to have internal structure. Composite particles have internal structure (i.e. are made of other particles).

All particles of the SMPF can by classified in the following way:

Name		Spin	Examples
	Baryons = $qqq$	$n + \frac{1}{2}$	$p^+, n^0, \Delta, \Lambda, \Sigma, \Omega, \Xi \cdots$
Hadrons	{	-	
	Mesons = $q\bar{q}$	n	$\pi^{0,\pm}, K^{0,\pm}, J/\psi, D^0, B^0, \eta, \cdots$
Leptons		1/2	$e^-, \nu_e, \mu^-, \nu_{\mu}, \tau^-, \nu_{\tau}$
Gauge fiel	lds	1	$\gamma$ , $Z^0$ , $W^{\pm}$ , $g^o$ .

# Classification of elementary particles

#### Gauge Fields (exchange Bosons):

Are fundamental particles that mediate interactions:

- Photon γ electromagnetic;
- 8 gluons *g* strong interaction
- Z and  $W^{\pm}$  week interaction
- *Graviton?*  $(h_{\mu\nu})$  gravitational interaction (quantum gravity)

#### Leptons:

Are fundamental particles that interact via the electromagnetic and week forces.

- Come in doublets with respect to the week force
- Only distinguishable by the mass
- Stable doublet: is the electron/electron neutrino

#### three generations of matter H C t SCALAR BOSONS -1/3 1/2 **b** d S down strange bottom photon μ τ electron Z boson muon tau LEPTONS W $v_{\rm e}$ $\nu_{\tau}$ W boson

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**Standard Model of Elementary Particles** 

#### Hadrons:

Have internal structure and interact via all types of forces.

are made of quarks, confined in sets of 2 (Mesons) or
 3 (Baryons) particles: up, down; charm, strange; top; bottom (u, d, c, s, t, b)

#### Scalar Higgs Boson

 Higgs Field: The Higgs mechanism is believed to be the cause the Electroweak symmetry breaking and describes the generation of the mass of all fermions and massive bosons

# Thermal evolution at equilibrium

#### Fundamental assumptions about the primordial universe:

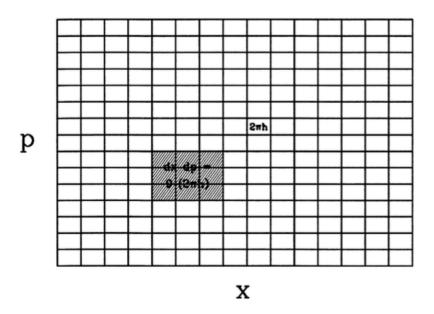
- All fluid species are assumed to behave as ideal fluids.
- Thermal equilibrium of a fluid species may be established whenever the particles' interaction rate,  $\Gamma(t)$ , (expressed in terms of number of interaction events per unit of time) is larger than the expansion rate of the Universe,  $H(t) = \dot{a}/a$ :

$$\Gamma(t) \gg H(t)$$

- The best way to describe a fluid component is through its distribution function (or mean occupation number)
   f(x, p, E, t). This function gives the number of particles in the position, x, with momentum, p.
- If the space is **homogeneous** the distribution function must be independent of, x. Moreover if space is **isotropic** f, must be a function of  $p = |\mathbf{p}|$ , so f = f(p, E, t).

#### The phase-space of a species in Quantum physics:

Uncertainty principle (1927):  $\Delta x \Delta p \gtrsim h$ 



Phase space smallest region of confinement:

One-dimension  $\{x, p\}$ :

$$\Delta x \, \Delta p = 2\pi \hbar$$

Three-dimensions  $\{x,p\}$ :

$$\Delta x \Delta p = (2\pi\hbar)^3$$

Number of "cells" in the Phase space: (natural units  $\hbar$ =1)

$$\int \frac{dxdp}{(2\pi\hbar)^3} = \frac{1}{(2\pi)^3} \int dxdp$$

Figure 2.4. Phase space of position and momentum in one dimension. Volume of each cell is  $2\pi\hbar$ , the smallest region into which a particle can be confined because of Heisenberg's principle. Shaded region has infinitesmal volume dxdp. This covers nine cells. To count the appropriate number of cells, therefore, the phase space integral must be  $\int dxdp/(2\pi\hbar)$ .

Phase space density

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### Thermal evolution at equilibrium

#### The density of states in phase-space $\{x, p\}$ :

In quantum mechanics the **momentum eigenstates** of a particle in a volume,  $V = L^3$ , has a **discrete spectrum** (separation between states is  $(h/L)^3$  — see figure). So, the density of states in the **momentum space**  $\{p\}$ , is:

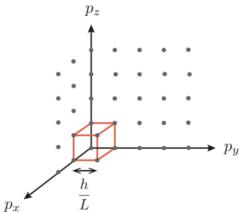
$$\{m{p}\}$$
 - density of states  $= \frac{1}{\left(\frac{h}{L}\right)^3} = \frac{V}{h^3}$ 

Therefore, in the **space-momentum space**,  $\{x, p\}$ , The density of states is:

$$\{x, p\}$$
 - density of states  $=\frac{1}{V\left(\frac{h}{L}\right)^3} = \frac{1}{h^3}$ 

If the particle species has g internal degrees of freedom the density of states in natural units in  $\{x, p\}$  is:

$$\frac{g}{h^3} = \frac{g}{(2\pi)^3}$$



because  $\hbar = h/(2\pi) \equiv 1$  and therefore  $h = 2\pi$ .

#### From quantum states to microscopic properties:

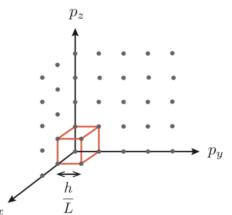
The **particle density** in the phase space is therefore the number density of states times the probability of the states being occupied, ie times the **distribution function**:

$$\frac{g}{(2\pi)^3} \times f(p)$$

The number density, of particles in real space is obtained by integrating over momentum:

$$n\,=\,rac{g}{(2\pi)^3}\int\mathrm{d}^3p\,f(p)$$

Likewise, one can obtain the energy density of particles  $p_x$  in real space by weighting the each momentum eigenstate by its energy,  $E(p)=\sqrt{m^2+p^2}$ , an therefore:



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$$ho \,=\, rac{g}{(2\pi)^3} \int \mathrm{d}^3 p \, f(p) E(p)$$

# Thermal evolution at equilibrium

From quantum states to macroscopic properties

The pressure of particles in real space results in a similar way,

$$P = \frac{g}{(2\pi)^3} \int \mathrm{d}^3 p \, f(p) \, \frac{p^2}{3E}$$

Where the  $p^2/3E$  is the pressure associated with eigenstates of p. This relation can be derived using statistical mechanics assuming a gas of weakly interacting particles.

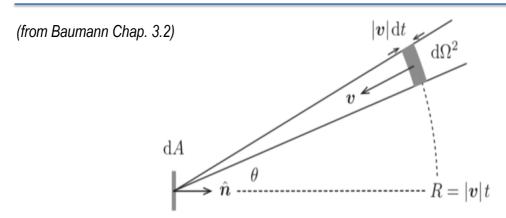


Figure 3.3: Pressure in a weakly interacting gas of particles

Derivation of,

$$P = \frac{g}{(2\pi)^3} \int \mathrm{d}^3 p \, f(p) \, \frac{p^2}{3E}$$

Lets assume a gas of weakly interacting particles in statistical mechanics.

Consider the area element dA, in the figure on the left. Particles move with E(|v|).

The number of particles in the shaded volume  $dV=|v|dt\ dA_S=|v|dt\ d\Omega R^2$  is:

$$\mathrm{d}N = \frac{g}{(2\pi)^3} f(E) \times R^2 |\boldsymbol{v}| \mathrm{d}t \,\mathrm{d}\Omega$$

Not all particles in dV will hit dA.

Only the fraction of this particles, with  $\hat{v} \cdot \hat{n} = \cos(\theta)$ , i.e. with the direction, v, will hit dA. So, **assuming isotropy**, the number of particles arriving on dA is:

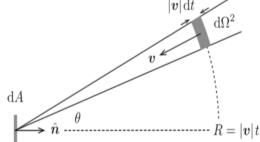


Figure 3.3: Pressure in a weakly interacting gas of particles.

$$dN_A = \frac{|\hat{\boldsymbol{v}} \cdot \hat{\boldsymbol{n}}| dA}{4\pi R^2} \times dN = \frac{g}{(2\pi)^3} f(E) \times \frac{|\boldsymbol{v} \cdot \hat{\boldsymbol{n}}|}{4\pi} dA dt d\Omega$$
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# Thermal evolution at equilibrium

(Derivation continuation...)

$$dN_A = \frac{|\hat{\boldsymbol{v}} \cdot \hat{\boldsymbol{n}}| dA}{4\pi R^2} \times dN = \frac{g}{(2\pi)^3} f(E) \times \frac{|\boldsymbol{v} \cdot \hat{\boldsymbol{n}}|}{4\pi} dA dt d\Omega$$

If these  $dN_A$  particles **collide elastically** at dA, each particle transfers a momentum  $2|\mathbf{p}.\hat{n}|$  (because the particle is assumed to collide elastically, and is reflected with the same angle of impact).

So the pressure dP (defined as force / area = momentum / time / area) by these particles at dA is:

$$dP(|\boldsymbol{v}|) = \int \frac{2|\boldsymbol{p} \cdot \hat{\boldsymbol{n}}|}{dA dt} dN_A$$

$$= \frac{g}{(2\pi)^3} f(E) \times \frac{p^2}{2\pi E} \int \cos^2 \theta \sin \theta d\theta d\phi$$

$$= \frac{g}{(2\pi)^3} \times f(E) \frac{p^2}{3E}$$

where  $|\mathbf{v}| = |\mathbf{p}|/E$  and the integration is made over the hemisphere of particles moving towards dA (i.e. with  $\hat{\mathbf{v}} \cdot \hat{\mathbf{n}} \equiv -\cos \theta < 0$ )

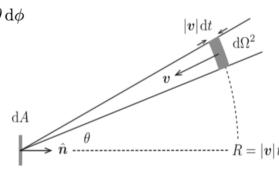


Figure 3.3: Pressure in a weakly interacting gas of particles

#### Kinetic equilibrium

If particles **exchange momentum and energy** in an **efficient way**, the system is said in **kinetic equilibrium**. If the system achieves a **maximum entropy state**, then particles are distributed according to the **Fermi-Dirac** or **Bose-Einstein** distribution functions:

$$f(p) = rac{1}{e^{(E(p)-\mu)/T} \pm 1}$$
 + Fermions - Bosons

Where T is the temperature of the system and  $\mu$  is the chemical potential defined as the change of energy with respect of the number of particles, at constant entropy, volume, and number other particle species.

$$\mu_i = \left(rac{\partial U}{\partial N_i}
ight)_{S,V,N_{j
eq i}} \quad ext{or} \qquad \mu_i = -T \left(rac{\partial S}{\partial N}
ight)_{U,V,\,N_{j
eq i}}$$

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At low temperature  $T \ll E - \mu$  both distributions reduce to the **Maxwell-Boltzmann** distribution:  $f(p) \approx e^{-(E(p) - \mu)/T}$ 

### Thermal evolution at equilibrium

Particle distribution functions



#### **Quantum Statistics Summary**

	Fermi-Dirac distribution	Bose-Einstein distribution	
Function	$f(E) = \frac{1}{\exp[(E - \mu)/k_{\mathrm{B}}T] + 1}$	$f(E) = \frac{1}{\exp[(E - \mu)/k_{\rm B}T] - 1}$	
Energy Dependence	$T = 0$ $T_1 \neq 0$ $T_2 > T_1$ $T_3 \neq 0$	f(E) 1 1/2 0 E	
Quantum Particles	Undistinguishable particles obeying to the Pauli's Principle: only one particle per state	Undistinguishable particles not subject to the Pauli's Principle: many particles can occupy one state	
Spins	semi-integer spins	integer spins	
Properties	At temperature of 0 K, each energy level is occupied by two Fermi particles with opposite spins.  Examples: electron, proton, neutron	At very low temperature, large numbers of Bosons fall into lowest energy state.  Examples: photon, gluon, mesons	

#### Chemical equilibrium

• If a particle species, i, is in **chemical equilibrium**, then  $\mu_i$  is related to the other species chemical potential. For example if one has the following interaction (reaction) among species:

$$1+2 \leftrightarrow 3+4$$
 then  $\mu_1 + \mu_2 = \mu_3 + \mu_4$ 

- Photons have chemical potential equal to zero, i.e.  $\mu_{\gamma} = \mathbf{0}$ , because the number of photons is not conserved. For example: double scattering interaction  $e^- + \gamma \leftrightarrow e^- + \gamma + \gamma$
- This implies that a particle, X, and its antiparticle,  $\overline{X}$ , ( $X + \overline{X} \leftrightarrow \gamma + \gamma$ ) have symmetric chemical potentials  $\mu_X = -\mu_{\overline{X}}$ .

#### Thermal equilibrium

• Thermal equilibrium is achieved for species which are both in kinetic and chemical equilibrium. These species then share the same temperature,  $T_i=T$ .

# Thermal evolution at equilibrium:

Using the distribution functions one can compute the number and energy densities, and pressure from their expressions in slides 11, 12, with,  $E(p) = \sqrt{m^2 + p^2}$ :

$$n = rac{g}{(2\pi)^3} \int {
m d}^3 p \, f(p) \hspace{1cm} 
ho = rac{g}{(2\pi)^3} \int {
m d}^3 p \, f(p) E(p)$$

$$P \,=\, rac{g}{(2\pi)^3} \int {
m d}^3 p \, f(p) rac{p^2}{3E}$$

- In general these expressions are solved numerically.
- However for some cases of interest is possible to derive analytical solutions.
- These are the cases of the ultra-relativistic particles (m  $\ll$  T) and non-relativistic (m  $\gg$  T) with vanishing chemical potential ( $\mu$  = 0)

Whenever the **chemical potential is zero** (photons) or **negligible** (e.g. electrons and protons) the number and energy densities can be written as:

$$n = rac{g}{2\pi^2} \int_0^\infty \mathrm{d}p \, rac{p^2}{\exp\left[\sqrt{p^2 + m^2}/T\right] \pm 1}$$
 $ho = rac{g}{2\pi^2} \int_0^\infty \mathrm{d}p \, rac{p^2 \sqrt{p^2 + m^2}}{\exp\left[\sqrt{p^2 + m^2}/T\right] \pm 1}$ 

Defining  $x \equiv m/T$  and  $\xi \equiv p/T$  these integrals can be written as

$$n = \frac{g}{2\pi^2} T^3 I_{\pm}(x) , \qquad I_{\pm}(x) \equiv \int_0^{\infty} d\xi \frac{\xi^2}{\exp\left[\sqrt{\xi^2 + x^2}\right] \pm 1}$$

$$\rho = \frac{g}{2\pi^2} T^4 J_{\pm}(x) , \qquad J_{\pm}(x) \equiv \int_0^{\infty} d\xi \frac{\xi^2 \sqrt{\xi^2 + x^2}}{\exp\left[\sqrt{\xi^2 + x^2}\right] \pm 1}$$

Which in certain cases can be evaluated analytically using the Riemann-Zeta and Gama functions. In particular one has:

$$\int_0^\infty \mathrm{d}\xi \, \frac{\xi^n}{e^{\xi} - 1} = \zeta(n+1) \, \Gamma(n+1) \; ,$$

$$\int_0^\infty \mathrm{d}\xi \, \xi^n e^{-\xi^2} = \frac{1}{2} \, \Gamma(\frac{1}{2}(n+1)) \; ,$$

#### Thermal evolution at equilibrium:

Ultra-relativistic limit:  $x \to 0$  ( $m \ll T$  and  $\mu = 0$ )

For  $x \rightarrow 0$  ( $m \ll T$ ) on has for the integral part of the number density:

$$I_{\pm}(0) = \int_0^{\infty} \mathrm{d}\xi \, \frac{\xi^2}{e^{\xi} \pm 1} \begin{cases} \text{Bosons:} \\ I_{-}(0) = \zeta(2+1)\Gamma(2+1) = 2\zeta(3) = 2.4 \\ \text{Fermions:} \\ I_{+}(0) = I_{-}(0) - 2\left(\frac{1}{2}\right)^3 I_{-}(0) = \frac{3}{4}I_{-}(0) = \frac{3}{2}\zeta(3) \end{cases}$$

For **Fermions** the integral is not directly related with the Riemann integrals. However one can use the mathematical equality,

$$\frac{1}{e^{\xi}+1} = \frac{1}{e^{\xi}-1} - \frac{2}{e^{2\xi}-1}$$

and then apply the Riemann integral.

Ultra-relativistic limit:  $x \to 0$  ( $m \ll T$  and  $\mu = 0$ )

So one obtains the following expressions for the **number density**:

$$n = \frac{\zeta(3)}{\pi^2} gT^3 \begin{cases} 1 & \text{bosons} \\ \frac{3}{4} & \text{fermions} \end{cases}$$

Doing a similar computation for the  $J_{\pm}(0)$ , it is possible to derive the following expression for the **energy density**:

$$ho = rac{\pi^2}{30} \, g T^4 \, \left\{ egin{array}{ll} 1 & {
m bosons} \ rac{7}{8} & {
m fermions} \end{array} 
ight.$$

To compute the pressure for Ultra-relativistic particles with  $\mu$ =0 x $\rightarrow$ 0, it is easy straightforward to show that:

$$P=rac{1}{3}
ho$$

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### Thermal evolution at equilibrium:

Non-relativistic limit:  $x \gg 1$  ( $m \gg T$  and  $\mu = 0$ )

For  $x \gg 1$  ( $m \gg T$ ) the number density integral gives the **same expression for Fermions and Bosons**:

$$I_{\pm}(x) pprox \int_0^\infty \mathrm{d}\xi \, rac{\xi^2}{e^{\sqrt{\xi^2 + x^2}}}$$

Most of the contribution to this integral comes from  $\pmb{\xi} \ll \pmb{x}$ . We can therefore expend the square root in a Taylor expansion to the lowest order in  $\pmb{\xi}$  to obtain:

$$I_{\pm}(x) pprox \int_{0}^{\infty} \mathrm{d}\xi \, rac{\xi^{2}}{e^{x+\xi^{2}/(2x)}} = e^{-x} \int_{0}^{\infty} \mathrm{d}\xi \, \xi^{2} e^{-\xi^{2}/(2x)} = (2x)^{3/2} e^{-x} \int_{0}^{\infty} \mathrm{d}\xi \, \xi^{2} e^{-\xi^{2}/(2x)}$$

This last integral is related with the Gamma Function integral with n=2. So one gets:

$$I_{\pm}(x) = \sqrt{\frac{\pi}{2}} \, x^{3/2} e^{-x}$$

Which leads to:

Non-relativistic limit:  $x \gg 1$  ( $m \gg T$  and  $\mu = 0$ )

The number density of non-relativistic particles

$$n = g \left(\frac{mT}{2\pi}\right)^{3/2} e^{-m/T}$$

This tell us that massive particles are exponentially rare at low temperatures.

For the energy density, at low temperature one has

$$E(p) = \sqrt{m^2 + p^2} \approx m + p^2/2m$$

The energy density integral can be obtained using this expression:

$$\rho = mn + \frac{3}{2}nT$$

The **pressure** can be also easily computed, giving

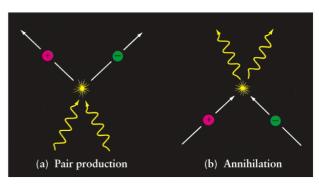
$$P = nT$$

# Thermal evolution at equilibrium:

Non-relativistic limit:  $x\gg 1$  ( $m\gg T$  and  $\mu=0$ )

From these expressions one concludes that:

- The densities and pressure of non-relativistic particles are strongly suppressed, by the exponential term  $e^{-m/T}$ , as temperature, T, drops bellow the particles mass, m. This is known as **Boltzmann suppression** and is due to **particle annihilations**.
- These annihilations also occur at high temperature but they are balanced by **particle-antiparticle pair productions**. At low temperature (typically below  $\sim m$ ) the thermal particle energies are not sufficient for pair production.
- Particle annihilations can be also associated with phase transitions, such us happens to the less massive quarks in the QCD phase transition.



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Non-relativistic limit:  $x \gg 1$  ( $m \gg T$  and  $\mu = 0$ )

From these expressions one concludes that:

- The transition from relativistic to non-relativistic behaviour is not instantaneous (in fact about 80% of particle-antiparticle annihilations take place in the temperature range  $T \in [m/6, m]$ ).
- Since  $m \gg T$  the energy density and pressure of non-relativistic particles,
  - $\rho = n\left(m + \frac{3}{2}T\right) \simeq nm$
  - $P = nT \ll \rho = nm$
- This means that non relativistic particles have in general negligible pressure. They behave as a "pressureless dust", (i.e. 'matter')
- Note that  $P = nT \Leftrightarrow PV = Nk_BT$  (in SI units) is the ideal gas law.

In a nutshell: decoupled non-relativistic particles behave as a gas of pressureless matter

### Thermal evolution at equilibrium:

#### Effective number of degrees of freedom of relativistic species

For a plasma of relativistic species, with bosons (labelled by i) and fermions (labelled by j) we have that:

$$\rho_B^{(i)} = \frac{\pi^2}{30} g_i T_i^4, 
\rho_F^{(j)} = \frac{7}{8} \frac{\pi^2}{30} g_j T_j^4$$

$$\rho_r = \sum_{i \text{ bosoes}} \frac{\pi^2}{30} g_i T_i^4 + \sum_{i \text{ fermioes}} \frac{7}{8} \frac{\pi^2}{30} g_i T_i^4$$

The total energy density of relativistic species can therefore be written as:

$$\rho_r = \sum_i \rho_i = \frac{\pi^2}{30} g_{\star}(T) T^4$$

where  $T = T_{\gamma}$  is the photons temperature and  $g_*$  is the *effective number of degrees* of freedom of the fluid at temperature T:

$$g_* = \sum_{i \text{ bosões}} g_i \left(\frac{T_i}{T}\right)^4 + \frac{7}{8} \sum_{j \text{ fermiões}} g_j \left(\frac{T_j}{T}\right)^4$$

#### Effective number of degrees of freedom of relativistic species

This expression allows that different species may not be in thermal equilibrium with the photon component. In fact we can distinguish two situations:

• For relativistic particles in thermal equilibrium with the photons we have:

$$g_{\star}^{th}(T) = \sum_{i=b} g_i + \frac{7}{8} \sum_{i=f} g_i$$

when a species become non-relativistic, it is removed from the sums in  $g^{th}_{\ *}$ . So, when T is away from the "mass thresholds" of particles  $g^{th}_{\ *}$  is independent of temperature

• For relativistic particles that are not in thermal equilibrium (or decoupling) from the photon fluid,  $g_*$  varies with temperature:

$$g_{\star}^{dec}(T) = \sum_{i=b} g_i \left(\frac{T_i}{T}\right)^4 + \frac{7}{8} \sum_{i=f} g_i \left(\frac{T_i}{T}\right)^4$$

### Thermal evolution at equilibrium:

Inventory of internal degrees of freedom of fundamental particles

type		mass	spin	g
quarks	$t,ar{t}$	$173~{ m GeV}$	$\frac{1}{2}$	$2\cdot 2\cdot 3=12$
	$b, \bar{b}$	$4~{ m GeV}$		
	$c, ar{c}$	$1~{ m GeV}$		
	$s,ar{s}$	$100~{\rm MeV}$		
	$d,\bar{s}$	$5~{ m MeV}$		
	$u, \bar{u}$	$2~{ m MeV}$		
gluons	$g_i$	0	1	$8 \cdot 2 = 16$
leptons	$ au^\pm$	$1777~\mathrm{MeV}$	$\frac{1}{2}$	$2 \cdot 2 = 4$
	$\mu^\pm$	$106~\mathrm{MeV}$	_	
	$e^\pm$	$511~{\rm keV}$		J
	$ u_{ au},ar{ u}_{ au}$	$< 0.6 \; \mathrm{eV}$	$\frac{1}{2}$	$2 \cdot 1 = 2$
	$ u_{\mu},ar{ u}_{\mu}$	$<0.6~\rm eV$		
	$ u_e, ar{ u}_e$	$<0.6~\rm eV$		
gauge bosons	$W^+$	$80~{ m GeV}$	1	3
	$W^-$	$80~{ m GeV}$		
	$Z^0$	$91~{ m GeV}$		
	$\gamma$	0		2
Higgs boson	$H^0$	$125~{ m GeV}$	0	1

Internal degrees of freedom of fundamental particles in the Standard Model of Particle Physics:

- Massless spin-1 (photons and gluons): 2 polarizations
- Massive spin-1  $(W^{\pm}, Z^0)$ : 3 polarizations
- Massive spin-1/2 leptons  $(e^{\pm}, \mu^{\pm}, \tau^{\pm})$ : 2 spins
- Massive spin-1/2 quarks: 2 spin and 3 colour states
- Neutrinos/anti-neutrinos: 1 helicity state

So the internal degrees of freedom for relativistic bosons and fermions in equilibrium are:

$$g_b = 28$$
 photons (2),  $W^{\pm}$  and  $Z^0$  (3 · 3), gluons (8 · 2), and Higgs (1)   
  $g_f = 90$  quarks (6 · 12), charged leptons (3 · 4), and neutrinos (3 · 2)

This gives:

$$g_{\star} = g_b + \frac{7}{8}g_f = 106.75$$

Evolution of relativistic degrees of freedom (SMPP)

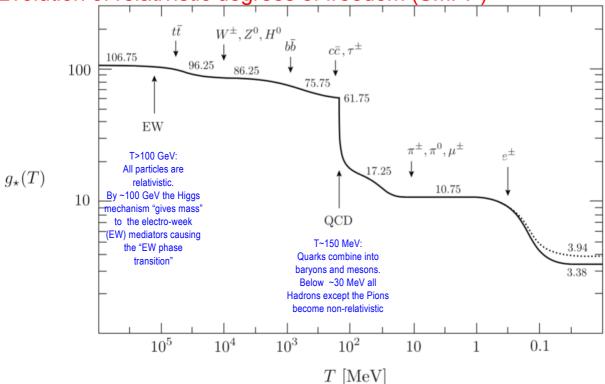


Figure 3.4: Evolution of relativistic degrees of freedom  $g_{\star}(T)$  assuming the Standard Model particle content. The dotted line stands for the number of effective degrees of freedom in entropy  $g_{\star S}(T)$ .

### Thermal evolution at equilibrium:

#### Entropy at equilibrium

From to the first law of thermodynamics (dU = TdS - PdV; with  $\mu_i = 0$ ) one has:

$$TdS \underset{(1)}{=} d(\rho V) + PdV \underset{(2)}{=} d\big[(\rho + P)V\big] - VdP \underset{(3)}{=} Vd\rho + (\rho + P)dV.$$

From (3) we conclude that:

$$\left(\frac{\partial S}{\partial V}\right)_{0} = \frac{(\rho + P)}{T}$$

From (2) and the above equation on obtains:

$$dS = \frac{1}{T} \left( d[(\rho + P)V] - V dP \right)$$

$$= \frac{1}{T} d[(\rho + P)V] - \frac{V}{T^2} (\rho + P) dT$$

$$= d \left[ \frac{\rho + P}{T} V \right] ,$$

#### Entropy at equilibrium

This expression allows defining entropy and entropy density (or specific entropy), up to a constant, as:

$$S = \frac{\rho + P}{T}V$$

$$S = \frac{\rho + P}{T}V$$
 
$$s \equiv \frac{S}{V} = \frac{\rho + P}{T}$$

The specific entropy of a relativistic boson species i can then be computed as (using the expressions of  $\rho_i$ ,  $P_i$ , obtained earlier):

$$s_i = \frac{\pi^2}{30} g_i \left( 1 + \frac{1}{3} \right) \frac{T_i^4}{T_i} = \frac{2\pi^2}{45} g_i T_i^3$$

Relativistic Bosons

where the 1/3 term comes from the pressure  $P_i = \rho_i/3$ .

A similar result holds for relativistic fermion species:

$$s_i = \frac{7}{8} \frac{\pi^2}{30} \left(1 + \frac{1}{3}\right) g_i T_i^3 = \frac{7}{8} \frac{2\pi^2}{45} g_i T_i^3 \qquad \text{Relativistic Fermions}$$

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### Thermal evolution at equilibrium:

#### Entropy at equilibrium

For a plasma of relativistic species, with bosons (labelled by i) and fermions (labelled by *j*) we have that:

$$s_{B} = \frac{2\pi^{2}}{45}g_{i}T_{i}^{3}$$

$$s_{F} = \frac{7}{8}\frac{2\pi^{2}}{45}g_{i}T_{i}^{3}$$

$$s = \sum_{i \text{ bosoes}} \frac{2\pi^{2}}{45}g_{i}T_{i}^{3} + \sum_{i \text{ fermioes}} \frac{7}{8}\frac{2\pi^{2}}{45}g_{i}T_{i}^{3}$$

The total energy density of relativistic species can therefore be written as:

$$s = \frac{2\pi^2}{45} g_{*s} T^3$$

where  $T=T_{\gamma}$  is the photons temperature and  $g_*$  is the *effective number of degrees of freedom* of the fluid at temperature *T*:

$$g_{*s} = \sum_{i \text{ bosões}} g_i \left(\frac{T_i}{T}\right)^3 + \frac{7}{8} \sum_{j \text{ fermiões}} g_j \left(\frac{T_j}{T}\right)^3$$

#### Entropy at equilibrium

One should note that  $g_{*s}$  is a function of  $(T_i/T)^3$  whereas  $g_*$ , varies as  $(T_i/T)^4$  This means that:

- Relativistic species in thermal equilibrium  $(T_i = T)$ :  $g_{*s} = g_*$
- Non-relativistic decoupling species  $(T_i \neq T)$ :  $g_{*s} \neq g_*$

In other words, if one writes

$$g_{\star S}(T) = g_{\star S}^{th}(T) + g_{\star S}^{dec}(T)$$

One has that  $g_{\star S}^{th}(T)=g_{\star}^{th}(T)$  for relativistic species in thermal equilibrium, and  $g_{\star S}^{dec}(T) \neq g_{\star}^{dec}(T)$  for non-relativistic species in the process of decoupling from fluid.

Slide 29 shows both  $g_{*s}$  (dotted line) and  $g_*$  (solid line).

At high values of the degrees of freedom (i.e. higher temperatures) the curves appear on top of each other because the differences are small and only more visible at low T.

### Thermal evolution at equilibrium:

#### Conservation of Entropy

A most important result about the evolution of the fluid in thermal equilibrium is that its **entropy remains constant with the expansion** (as opposed to its energy density that decreases with time).

This can be proved by taking the **time derivative** of S:

$$\frac{dS}{dt} = \frac{d}{dt} \left[ \frac{\rho + P}{T} V \right]$$

$$= \frac{V}{T} \left[ \frac{d\rho}{dt} + \frac{1}{V} \frac{dV}{dt} (\rho + P) \right] + \frac{V}{T} \left[ \frac{dP}{dt} - \frac{\rho + P}{T} \frac{dT}{dt} \right] = 0$$

• The first term vanishes, because

$$\dot{
ho} + 3 \frac{\dot{a}}{a} (
ho + P) = 0$$

(FLRW continuity equation) and  $V = L^3 a^3$ .

• The second term also vanishes, because

$$\frac{\partial P}{\partial T} = \frac{\partial S}{\partial V} = \frac{(\rho + P)}{T}$$

#### Conservation of Entropy

Entropy conservation has **two important consequences**:

• From  $S = sV = const. \Rightarrow s \propto a^{-3}$ In fact, whenever the number density  $n = N/V \propto a^{-3}$  (i.e. away particle mass thresholds) one also has that n/s = N/S. Since S = const., one can set it to 1, to conclude that n/s = N. The same holds for individual species:

$$N_i \equiv rac{n_i}{s}$$

• Since  $s=\frac{2\pi^2}{45}g_{*s}T_{\gamma}^3$  and sV=const. one has:

$$g_{\star S}(T) T^3 a^3 = const.$$
  $\longrightarrow$   $T \propto g_{\star S}^{-1/3} a^{-1}$ 

Away from particle mass thresholds  $(g_{*s} = const.)$  we then conclude that the temperature of a relativistic fluid scales with the inverse of a(t).

We can now combine this equation in energy density equation and the Friedmann <sup>35</sup> equation to relate temperature with density and time.

### Thermal evolution at equilibrium:

Conservation of Entropy: Temperature - time dependence

Indeed the energy density of a fluid of relativistic particles is:

$$\rho_r = \frac{\pi^2}{30} g_* T^4 \propto g_* \left( g_{*S}^{1/3} a^{-1} \right)^4 \propto \left( g_* g_{*S}^{4/3} \right) a^{-4}$$

Away from particle mass thresholds  $g_*$  and  $g_{*s}$  are constants and one recovers the scaling  $\rho_r \propto a^{-4}$ .

The Friedman equation with  $ho=
ho_r \propto a^{-4}$  gives the well known solution:  $a \propto t^{1/2}$ .

Plugging this in the temperature scaling, one finally obtains  $T \propto g_{*s}a^{-1} \propto t^{-1/2}$ .

Doing the exact maths, one obtains an exact time dependence of the temperature of the relativistic fluid.

$$\frac{T}{1 \,\mathrm{MeV}} \simeq 1.5 \,g_{\star}^{-1/4} \left(\frac{1 \,\mathrm{sec}}{t}\right)^{1/2}$$

(rule of tumb:  $T \sim 1 \text{ MeV}$  at about 1 second after the Big-Bang)

At particle mass thresholds the effective degrees of freedom are also a function of T. So the integration of the Friedmann eq. leads to deviations of the  $a \propto t^{1/2}$  scaling.

Key events in the thermal history of the universe

Event	time $t$	redshift $z$	temperature $T$
Inflation	$10^{-34} \mathrm{\ s\ (?)}$	-	_
Baryogenesis	?	?	?
EW phase transition	20  ps	$10^{15}$	$100  \mathrm{GeV}$
QCD phase transition	$20~\mu\mathrm{s}$	$10^{12}$	$150~{\rm MeV}$
Dark matter freeze-out	?	?	?
Neutrino decoupling	1 s	$6 \times 10^9$	$1~{ m MeV}$
Electron-positron annihilation	6 s	$2\times10^9$	$500~\rm keV$
Big Bang nucleosynthesis	3 min	$4\times10^8$	$100~\rm keV$
Matter-radiation equality	$60~{ m kyr}$	3400	$0.75~\mathrm{eV}$
Recombination	$260380~\mathrm{kyr}$	1100-1400	$0.26 – 0.33~{\rm eV}$
Photon decoupling	$380~{ m kyr}$	1000-1200	$0.23 – 0.28~{\rm eV}$
Reionization	$100400~\mathrm{Myr}$	11–30	$2.67.0~\mathrm{meV}$
Dark energy-matter equality	$9~{\rm Gyr}$	0.4	$0.33~\mathrm{meV}$
Present	$13.8~\mathrm{Gyr}$	0	$0.24~\mathrm{meV}$

The previous sets of equations allows one compute all thermodynamic properties of the primordial relativistic fluid and establish their dependence with time and redshifts.

All we need to know is of what the universe is made of and physics of each of its components!

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#### Thermal evolution at equilibrium:

Key events in the thermal history of the universe

#### **Baryogenesis:**

Quantum field theory requires the existence of anti-particles. This poses a problem: *particle-antiparticle creation and annihilation (allowed by the Heisenberg principle) creates/destroys equal amounts of particle and anti-particles*.

However, we do observe an excess of matter (mostly baryons) over anti-matter! Models of baryogenesis attempt to describe this observational evidence using some dynamical mechanism (instead of assuming this particle-anti-particle asymmetry *ab initio*)

#### **Electroweak phase transition:**

At ~100 GeV particles acquire mass through the Higgs mechanism. This leads to a drastic change of the weak interaction. The gauge bosons  $Z^0$ ,  $W^\pm$  become massive and soon after decouple from thermal equilibrium.

#### QCD phase transition:

Above ~150 MeV quarks are asymptotically free (i.e. weakly interacting). Below this energy/mass threshold the strong force (mediated by the gluons) become more intense; the more massive quarks start to decouple from the fluid. The less massive become confined (with the gluons) inside the baryons (3 quarks + gluons) and mesons (quarks+anti-quark + gluons)

Key events in the thermal history of the universe

#### **Dark Matter freeze-out:**

Present observations indicates that dark matter is very-weakly interacting (or non-interacting). Depending on the mass of the dark matter candidates one should expect that it should decouple from the fluid early on. For example, if dark matter is made of WIMPs (weakly interactive massive particle), one should expect that their abundance should freeze around 1 MeV

#### **Neutrino decoupling:**

Neutrinos only interact with the rest of the plasma through the weak force. They are expected to decouple from the fluid at ~0.8 MeV.

#### **Electron-positron annihilation:**

Electrons and positron annihilate soon after the neutrinos. Positrons vanish, because electron-positron pair production is strongly suppressed below ~1MeV

#### **Big Bang Nucleosynthesis:**

At ~0.1MeV (~3 minutes after the Big-Bang) protons and neutrons combine to form the first light nuclear elements.

### Thermal evolution at equilibrium:

Key events in the thermal history of the universe

#### **Recombination:**

At ~0.3 eV (260-380 kyr) free electrons combine with nuclei to form atoms. Predominantly Hydrogen:  $e^- + p^+ \to H + \gamma$ . Below this range of energies, this chemical reaction can no longer occur in the reverse order.

#### Photon decoupling:

By ~0.23 eV (380 kyr) the primordial fluid is reduced to photons, that no longer interact with matter (free electrons). The Cosmic Microwave Background radiation propagates freely in the Universe.

Brief history of the Universe

