

# Direct Detection of Neutrinos with KATRIN and PTOLEMY

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# Content

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- Introduction
- Neutrinos
- Direct detection of Neutrinos
- $\beta$ -decay endpoint
- Neutrino mass ordering
- Cosmology of the Neutrino Background
- $\beta$ -decay of Tritium
- KATRIN:
  - Neutrino capture with KATRIN.
- PTOLEMY:
  - Neutrino capture with PTOLEMY;
  - Expect event rates with PTOLEMY;
  - Neutrino mass sensitivity;
  - Neutrino mass ordering with PTOLEMY;
- Conclusions

# Introduction

- Cosmic neutrino Background ( $C\nu B$ ) is a relic from the early Universe.
- It dates as far as 350 000 years before the Cosmic Microwave Background.
- It is the Universe's background particle radiation composed of neutrinos.
- Measuring the properties of these neutrinos will be important to unfold the mysteries of the Universe.

# Neutrinos

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- Have weak interactions, making them slower than the Hubble rate instantaneously in the decoupling limit.
- The average number density per neutrino state today,  $n_0$ , is:

$$n_0 = \frac{3\zeta(3)}{4\pi^2} T_{\nu,0}^3 = 56 \text{cm}^{-3}$$

- $T_{\nu,0}$  is the neutrino temperature in the present,  $T_{\nu,0} \approx 1.95\text{K}$ .

# Neutrinos

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- The final stages of neutrino decoupling overlap with the beginning of the electron-positron annihilations in the primeval plasma.
- The effective number of relativistic neutrino families are:

$$N_{eff} = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{\rho_\nu}{\rho_\gamma} = 3.045$$

- $\rho_\gamma$  e  $\rho_\nu$  are the photon and neutrino energy densities, respectively.
- 2 of the 3 neutrino families are massive, however, the mass scale is still unknown.

# Direct detection of Neutrinos

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- The best way to measure the neutrinos mass is through:
  - $\beta$ -decay endpoint;
  - Electron capture decay.
- Some current and future projects are going to attempt to take these measurements:
  - KATRIN;
  - ECHo;
  - HOLMES;
  - Project-8;
  - PTOLEMY.

# $\beta$ -decay endpoint

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- Effective neutrino  $\beta$ -decay mass:

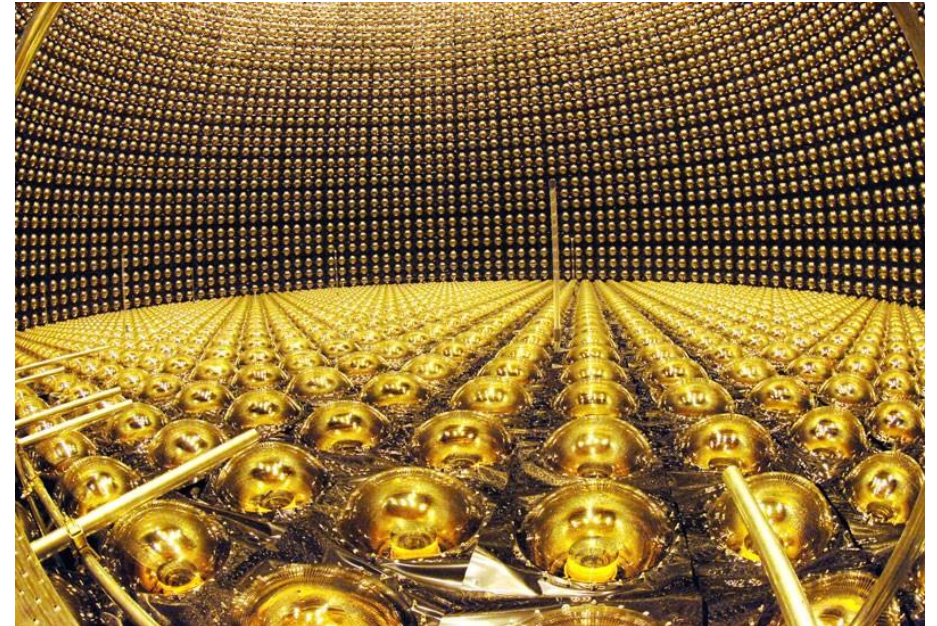
$$m_{\beta}^2 = \sum_i |U_{ei}|^2 m_i^2$$

- $|U_{ei}|$  are the elements of the mixing matrix which describe the electron flavor for each of the eigenstates.
- $m_i$  is the mass of the  $i$ -th neutrino eigenstate.
- Considering that the mass  $m_i$  is similar to the neutrino mass itself,  $m_{\nu}$ , then:

$$m_{\beta} \approx m_{\nu}$$

# Neutrino Mass Ordering

- Another unknown is the mass ordering of neutrinos. It can be normal or inverted.
- Normal ordering happens when the lightest neutrino has the biggest mixing with the electron flavors.
- Inverted ordering is the exact opposite.
- Super-Kamiokande experiment suggests that the normal order is the most favorable.



**Fig.1:** Image of the interior of super-Kamiokande experiment.

It is an important factor in the interpretation of the event counts.



# Cosmology of the Neutrino Background

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- Hubble constant,  $H$ , is given by:

$$H = \frac{\dot{a}}{a} = \sqrt{\frac{8\pi G}{3} \rho_{total}} = \sqrt{\frac{8\pi\rho}{3M_{Planck}^2}} \propto a^{-4}$$

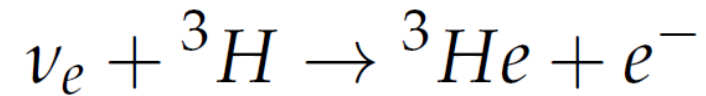
- Reaction rate for relativistic neutrinos,  $\Gamma$ :

$$\Gamma = n_\nu \langle \sigma v \rangle \approx T_0^3 G_{Fermi} T_0^2 = G_F T_0^5 \propto a^{-5}$$

The decoupling of neutrinos is a competition between these two factors.

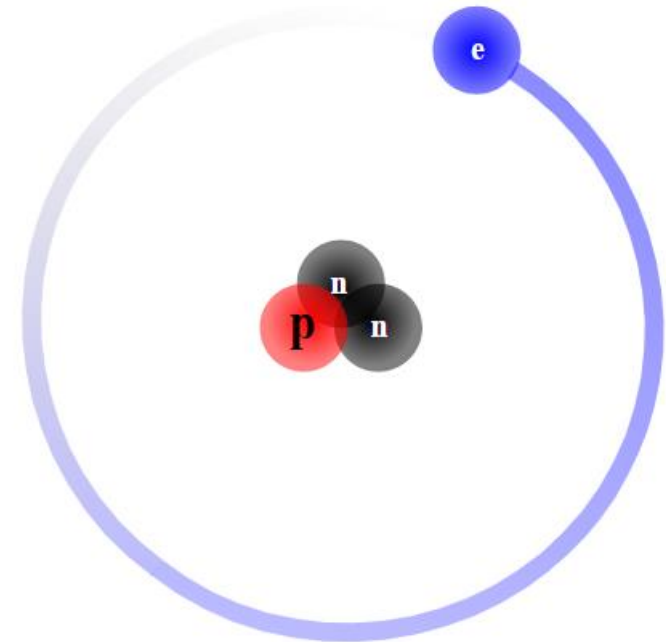
# $\beta$ -decay of Tritium

- Reaction:



## Why Tritium?

- Availability;
- Adequate lifetime;
- Large neutrino cross section capture;
- Reaction has a low Q values ( $\approx 18,562 \text{ KeV}$ ).



**Fig.2:** Scheme of the composition of a Tritium atom.

# Neutrino capture with KATRIN

- According to Fermi's Golden Rule, Tritium  $\beta$ -decay probability is given by:

$$\Gamma_{decay}^{\beta}(^3H) = \frac{1}{2\pi^3} \cdot \sum \int |\langle ^3He|T|^3H \rangle|^2 \cdot 2\pi\delta(E_{\nu} + E_e + E_f - E_i) \frac{d\vec{p}_e}{2\pi^3} \cdot \frac{d\vec{p}_{\nu}}{2\pi^3}$$

- $\sum |\langle ^3He|T|^3H \rangle|^2$  is the beta decay matrix element.
- Integrating over the phase space and finding  $\Gamma_{decay}^{\beta}(^3H)$ , one finds the half-life of tritium:

$$T_{1/2}^{\beta} = \frac{\ln 2}{\Gamma_{decay}^{\beta}(^3H)} = 12.32 \text{ years}$$

- This result agrees with the values obtained experimentally.




**Fig.3:** KATRIN

# Neutrino capture with KATRIN

- The induced relic neutrino capture reaction is:

$$\Gamma_{capture}^{\beta}({}^3H) = 4.2 \cdot 10^{-25} \frac{n_{\nu,e}}{\langle n_{\nu,e} \rangle}, \quad \text{with } \langle n_{\nu,e} \rangle = 56 \text{ cm}^{-3}$$

Gives the relic neutrino capture rate per year for 1 Tritium atom.

- The effective mass of the tritium source of KATRIN considered is 20  $\mu\text{g}$ .   $2 \cdot 10^{18}$  Tritium<sub>2</sub> molecules
- The capture rate of relic neutrinos at KATRIN,  $N_{\nu}^K$ , is then:  $N_{\nu}^K = 1.7 \cdot 10^{-6} \cdot \frac{n_{\nu,e}}{\langle n_{\nu,e} \rangle}$

Corresponds to 1 count for every 590 000 years.

# Neutrino capture with KATRIN

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- The number density of relic neutrinos is larger by gravitational clustering in our solar system or in our galaxy.
- Gravitational clustering of neutrinos is possible on the scale of galaxies of around 1 Mpc and their halos. The values obtained for the upper limit are:

$$n_{\nu,e} / \langle n_{\nu,e} \rangle \leq 10^6$$

- The new value for the capture rate of relic neutrinos is:

$$N_{\nu}^k = 1.7 \cdot 10^{-6} \cdot \frac{n_{\nu,e}}{\langle n_{\nu,e} \rangle} \approx 1.7$$

Corresponds to 1.7 counts per year.

# Neutrino capture with PTOLEMY

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- The capture rate of relic neutrinos by Tritium nuclei is:

$$\Gamma_{C\nu B} = \sum_{i=1}^{N_\nu} \Gamma_i$$

- $\Gamma_i$  is the capture rates from all the different neutrino mass eigenstates,  $\nu_i$ .  $\Gamma_i$  depends on the number of Tritium nuclei,

$$N_T = M_T / m_{3H}$$

- $M_T$  is the mass of the sample of the element.

# Neutrino capture with PTOLEMY

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- $\Gamma_i$  is given by:

$$\Gamma_i = N_T |U_{ei}|^2 \int \frac{d^3 p_\nu}{(2\pi)^3} \sigma(p_\nu) v_\nu f_{\nu_i}(p_\nu)$$

- $|U_{ei}|$  is the mixing matrix elements,  $p_\nu$  and  $v_\nu$  are the neutrinos momentum and velocity, respectively.  $\sigma(p_\nu)$  is the cross-section and  $f_{\nu_i}(p_\nu)$  is the momentum distribution function of the respective neutrino eigenstate.
- This distribution is very slender and so, the integral can be reduced to:

$$\int \frac{d^3 p_\nu}{(2\pi)^3} \sigma(p_\nu) v_\nu f_{\nu_i}(p_\nu) = \bar{\sigma} v_\nu f_{c,i} n_0$$

# Neutrino capture with PTOLEMY

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- The capture rate can then be modified to:

$$\Gamma_i = N_T |U_{ei}|^2 \bar{\sigma} v_\nu f_{c,i} n_0$$

- Energy resolution is finite so, most of the background in the neutrino capture process is from the most energetic electrons of the  $\beta$ -decay of Tritium. This happens because they can be measured with energies that go beyond the endpoint.
- Taking in account the  $\beta$ -decay spectrum, the rate of this background is:

$$\frac{d\Gamma_\beta}{dE_e} = \frac{\bar{\sigma}}{\pi^2} N_T \sum_{i=1}^{N_\nu} |U_{ei}|^2 H(E_e, m_i)$$



# Neutrino capture with PTOLEMY

- A smearing is introduced in the electron spectrum, to account for the energy resolution  $\Delta$ .
- Implemented through a convolution between the  $C\nu B$  and the  $\beta$ -decay spectrum with a Gaussian of FWHM  $\Delta$ .
- Therefore, the new neutrino capture rate is:

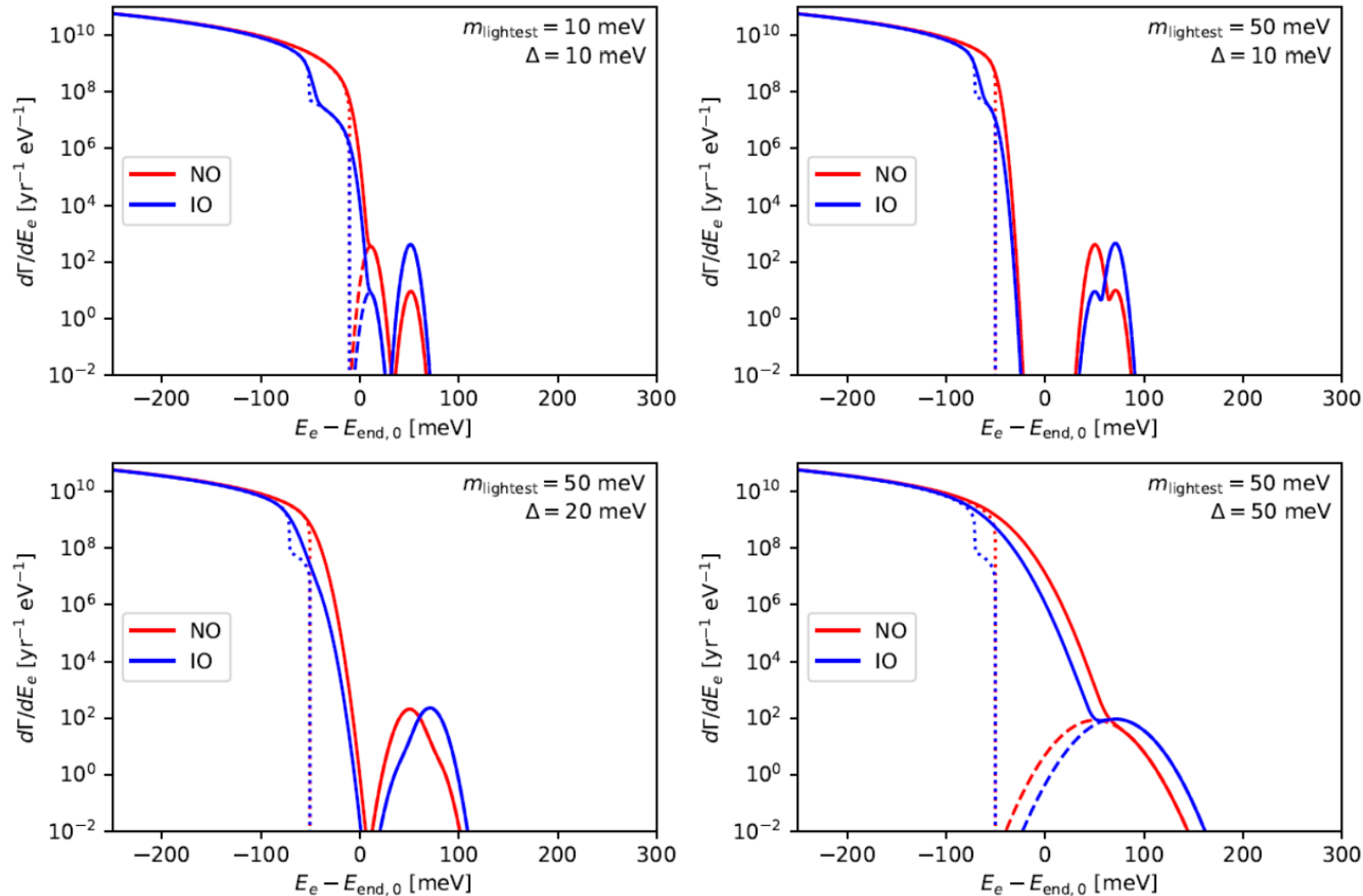
$$\frac{d\tilde{\Gamma}_{C\nu B}}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}(\Delta/\sqrt{8\ln 2})} \sum_{i=1}^{N_\nu} \Gamma_i \times \exp\left[-\frac{[E_e - (E_{\text{endpoint}} + m_i + m_{\text{lightest}})]^2}{2(\Delta/\sqrt{8\ln 2})^2}\right]$$

- And applying the same principle to the smeared  $\beta$ -decays,

$$\frac{d\tilde{\Gamma}_\beta}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}(\Delta/\sqrt{8\ln 2})} \int_{-\infty}^{+\infty} dE' \frac{d\tilde{\Gamma}_\beta}{dE_e}(E') \exp\left[-\frac{(E_e - E')^2}{2(\Delta/\sqrt{8\ln 2})^2}\right]$$

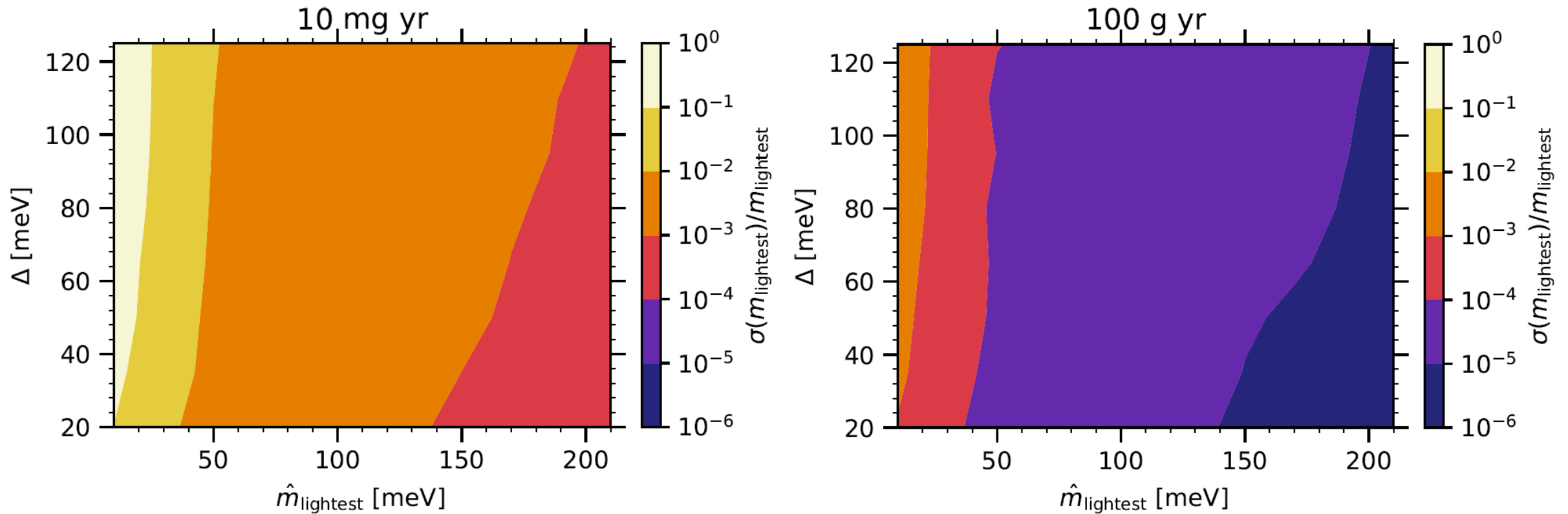
The number of signal events to be expected is around 4 counts per year.

# Expected events rates with PTOLEMY



**Fig.4:** Expected event rates as a function of electron energy  $E_e$  in the PTOLEMY experiment (assuming 100g of tritium source) near the  $\beta$ -decay endpoint for different lightest neutrino masses and energy resolutions. Solid lines represent the total event rates convolved with a Gaussian envelope and dashed lines represent the signal event rates as it would be measured by the experiment without the background while dotted lines show the background ( $\beta$ -decay) event rates without the convolution.

# Neutrino mass sensitivity



**Fig.5:** Relative error of the reconstructed lightest neutrino mass as a function of the lightest neutrino mass and the energy resolution, considering two different values for tritium mass of PTOLEMY data and normal ordering.

# Mass ordering-PTOLEMY

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- PTOLEMY will be able to determine the neutrino mass ordering.
- Best-fit mixing parameters of NO and IO to compute the Bayesian evidence  $\mathcal{Z}$ .
- Using Bayes factor, one obtains PTOLEMY sensitivity to mass ordering.

$$\ln \mathcal{B}_{ij} = \ln \mathcal{Z}_i - \ln \mathcal{Z}_j$$

If  $\ln \mathcal{B}_{ij} > 0$   Case  $i$  is preferred

If  $\ln \mathcal{B}_{ij} < 0$   Case  $j$  is preferred

# Conclusions

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- Measuring the Cosmic Neutrino Background can give us a way to look back in time. More than with the Cosmic Microwave Background.

Problem:

- Low neutrino number density, resulting in low counts of the  $C\nu B$ .

Solution:

- Look in local overdensities in our galaxy.
- PTOLEMY has a good potential in neutrino physics, can detect very low energy fluxes and provides constraints on neutrino properties.
- Without Tritium, PTOLEMY could also be useful to observe dark matter particles.

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